## PROJECTING A NATION

### Chinese National Cinema Before 1949

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# Contents

ACKNOWLEDGEMENTS	vii
CHAPTER 1 Chinese National Cinema: An Introduction	1
CHAPTER 2 Cinema and Cultural Awareness (1896–1920)	29
CHAPTER 3 Industrial Nationalism (1921–1930)	47
CHAPTER 4 Class Nationalism Versus Traditionalist Nationalism (1931–1936)	75
CHAPTER 5 Colonial and Anti-colonial Nationalisms (1937–1945)	115
CHAPTER 6 Nationalism and Modernization (1946–1949)	159
CONCLUSION	191
NOTES	195
GLOSSARY	229
BIBLIOGRAPHY	237
INDEX	259



#### Chinese National Cinema: An Introduction

Over the last two decades, Chinese cinema has become the focus of intense interest in academia in both China and the West. However, most scholars have focused on cinema after 1949, the year in which the Chinese Communist Party (the CCP) won power and established the People's Republic of China. For example, in English scholarship, Paul Clark's classic history, *Chinese Cinema: Culture and Politics Since 1949*, is the major early contribution to this field of scholarship. There is, however, no recent equivalent history in English for the pre-1949 period. This book not only addresses the lack of English-language scholarship in this area, but focuses on mobilizations of "the national" in pre-1949 Chinese cinema; a crucial issue which has generally been ignored by most researchers.

The classic Chinese language text for the pre-1949 period is The History of the Development of Chinese Cinema (Zhongguo dianying fazhan shi) by Cheng Jihua et al., which was published in 1963.2 Written from an orthodox Marxist-Leninist position, Cheng et al.'s work is comprehensive, but flawed in its approach — its typically Marxist teleological and linear view of history led the authors to privilege leftist films over competing trends and alternative approaches to filmmaking that were present before 1949. Thus, China's film history is in part erased or dismissed in the text; certainly, it is, at the very least, simplified. Many films are ignored because in Cheng et al.'s eyes they do not fit the CCP's version of national cinema after 1949, which holds that the Chinese national cinema should serve the needs of the CCP-led state. Cheng et al.'s book thus exposes a nationalist discourse representing the CCP's political stand during the period after 1949. In Cheng's letter to Jay Leyda of 17 July 1963, Cheng explains why he and co-writers ignored many films in their study, including films made in Shanghai and Manchukuo during the Japanese occupation:

On the views of your article about the films made by the Japanese puppets after 1941 in North China and Shanghai, I consider that such puppet films were only the products of Japanese imperialist aggression with a small group of traitors who had gone over to the enemy. Such films are absolutely contrary to the consistently patriotic spirit of our people. They were the opposite to the Chinese national film, an object to be condemned in a history of Chinese films, and can not occupy a place in that history. This is a matter of principle.<sup>3</sup>

It is particularly interesting that Cheng uses the phrase "Chinese national film" in his letter to Leyda. In this context this phrase obviously means that only Chinese films which serve the interests of the Chinese nation can be called "Chinese national film."

Due to a lack of access to first-hand material, Cheng et al.'s book has become the major source of information for much research on Chinese cinema outside China, and this research has thus inherited many of its viewpoints. Jay Leyda's Dianying: An Account of Film and the Film Audience in China is typical of this tendency. For example, Leyda, like Cheng et al., is generally silent on the subject of the large numbers of traditional costume and martial arts films made in the 1920s. While Leyda does not mention why he ignores these films, we may find the answer in The History of the Development of Chinese Cinema, since Cheng et al. believe that "these films advocated backward feudal ideologies and were detached from reality," and "virtually obstructed the growth of the revolutionary class awareness." In other words, these films are seen as contrary to the CCP's revolutionary project. Leyda's silence about traditional costume films and martial arts films can therefore leave readers with the impression that he shares the Cheng et al. view.

In the post-Mao period, the Marxist approach of Cheng et al. has been criticized and China's film history is in the process of being re-written. Indeed, there is much valuable scholarship on the pre-1949 period in the West and China. However, most of the work produced to date does not cover the entire period from the first Chinese films in 1905 to the establishment of the People's Republic in 1949. Moreover, only a very few researchers have dealt with the issue of "the national" in cinema, an issue that I believe is critical to the study of pre-1949 Chinese cinema. I therefore feel that this new scholarship has made only a partial intervention in the field. A large amount of primary work needs to be undertaken, especially

concerning the construction of the national in Chinese cinema prior to 1949.

Two recently published essays relating to "the national" in Chinese cinema during certain periods before 1949 indicate that researchers have started to realize the importance of the concept of the national as mobilized in pre-1949 Chinese cinema. The first, Zhiwei Xiao's "Constructing a New National Culture: Film Censorship and the Issues of Cantonese Dialect, Superstition, and Sex in the Nanjing Decade," uses a discussion of film censorship to examine the construction of the national in Chinese cinema between 1927 and 1937.7 The author points out that "as the censorship of Cantonese, superstitious, and 'racy' films shows, the need to build a strong and modern nation was the central motivating factor behind the state's film policies."8 It is obvious that Xiao, unlike Cheng Iihua et al., does not assume that the Chinese cinema is a national cinema. Instead, Xiao emphasizes the motives of the Chinese government in using film to serve the nation's interests.

The second essay is Poshek Fu's "Between Nationalism and Colonialism: Mainland Émigrés, Marginal Culture, and Hong Kong Cinema 1937-1941." Fu examines the relationship between the nationalist discourses of Chinese mainlanders (Mainland émigrés in Hong Kong, the Nationalist Party [the Kuomintang, or KMT], intellectuals and filmmakers) and Hong Kong filmmaking in order to expose the marginalization of Hong Kong cinema between 1937 and 1941. The Mainlanders claimed that Hong Kong culture lacked "an articulated nationalism ... and ridiculed and condemned its cinema as the inferior Other." 10 Although Hong Kong cinema is the main focus of the essay, Fu devotes a lot of attention to the nationalist discourses of Mainlanders, primarily concerning antiimperialism and China's modernization. Fu thus shows that Hong Kong cinema was defined as non-national in contrast with the China-centered discourse of nationalism, therefore demonstrating the existence of strong nationalist expression relating to mainland Chinese cinema between 1937-1941.

The two essays discussed above, which concern specific aspects of the construction of "the national" in Chinese cinema during specific periods before 1949, are inspiring. However, no overall examination of "the national" of pre-1949 Chinese cinema has yet been made.

Based on new primary material about the cinema<sup>11</sup> and recent theoretical scholarship on the construction of modern nations and national cinemas, I discuss pre-1949 Chinese cinema in this book from the perspective of national cinema. I argue that questions and debates about the status and meaning of the "national" in "Chinese national cinema" are central to any consideration of cinema during this period, and indeed the issue of nation is the determining principle shaping the Chinese cinema before 1949. In other words, the Chinese cinema not only reflected nationalist ideologies and movements in Chinese society, but also actively participated in debates surrounding issues relating to the "Chinese nation." Pre-1949 Chinese cinema is thus inseparable from Chinese nationalism.

I will demonstrate that "Chinese national cinema" has remained a complicated and unclearly formulated concept despite the continued usage of the phrase. It is obvious that the researchers epitomized by Cheng Jihua generally have no intention of probing into the fundamentals of national cinema since they simply equate the term with Chinese cinema, and see national cinema as a self-evident category. As a consequence, the "puppet films" made in Shanghai and Manchukuo do not, in their eyes, belong to the category of Chinese films because they are held to be the opposite of Chinese national film. It is clear that though the phrase "national cinema" is used frequently, many people working in the field assume that it is unnecessary to clarify or discuss the meaning of the term.

In view of these circumstances, I address a methodological question in writing this book: that of how to approach the concept of national cinema. The key subject of this research is the construction of Chinese national cinema, and the following questions will be addressed: (1) How was "Chinese national cinema" constructed by different people (i.e. political parties, politicians, filmmakers, film studio owners, film researchers and even film audiences) for different purposes at different times? (2) Furthermore, how is the concept of Chinese national cinema multi-faceted, contested and historically specific? (3) Why is the Chinese national cinema mainly about film content rather than form? (4) What is the relationship between the construction of Chinese national cinema, and the way we conceptualize "national cinema" itself? By addressing these issues, I hope to answer a major question about the study of the cinema: How can we write the history of Chinese cinema before 1949 by tracing discourses involving the issue of national cinema?

This book therefore intends to address the gap in existing research not only by covering the entire pre-1949 period of Chinese cinema, but also by examining the construction of the national in the cinema, an issue which has generally been ignored by most researchers.

This introductory chapter consists of the following parts: First, I review the general understanding of the nation and national cinema in Europe. I will show how national cinema has been theorized in relation to Europe and how the European concept of national cinema is determined by specifically European national conditions. The concept of "national cinema" as generally used in Cinema Studies thus has a Eurocentric history. and this concept informs the work of this book.

Secondly, I review the Chinese concept of nation and national cinema. I will show how the Chinese concept of national cinema was determined by Chinese historical circumstances and is therefore quite different from the European concept of national cinema. Briefly, the European concept, as many researches have pointed out, emerged in the 1920s and 1930s and was based on European debates on the cinema, especially those concerning the threat posed by Hollywood and the discussions on various means of countering it. Therefore, the European concept of national cinema laid emphasis on national culture in film form, although in later stages it also related to politics and nation-building projects. In contrast, the Chinese concept of national cinema placed greater emphasis on the nation itself as a community and the foreign threats to the nation's survival in political, military, economic and cultural terms. The issue of "the nation" is thus the determining principle in Chinese cinema before 1949, the thread that can be traced throughout and used to bind this history together.

Thirdly, the chapter breakdown at the end of this introduction presents a more detailed discussion of the ways in which debates on the idea of the nation characterize particular periods of Chinese cinema before 1949.

#### THE EUROPEAN CONCEPT OF NATIONAL CINEMA

The concept of national cinema is Eurocentric and originally arose from settled nation-state cinemas (such as France and Germany) in direct opposition to the cultural influences of Hollywood in the 1920s and 1930s. This concept informs my understanding of Chinese national cinema and is the basis for the discussion of the Chinese concept of national cinema.

Whatever their differences are, most contemporary scholars in the West agree on the fundamental point that the nation is a cultural construction. In this regard, there is more unity among them than among the scholars of national cinema. Perhaps the most influential has been Benedict Anderson, with his assertion that "nationality ... nation-ness, as

well as nationalism, are cultural artefacts of a particular kind."12 Thus, a nation "is an imagined political community — and [is] imagined as both inherently limited and sovereign."13

Many scholars of nationalism, though they do not use Anderson's term "imagined," express essentially the same point of view. For instance, Ernest Gellner states that "nationalism is not the awakening of nations of self-consciousness; it invents nations where they do not exist."14 He goes on to argue that "nations as a natural, God-given way of classifying men ... are a myth."15 E. J. Hobsbawm also stresses "the element of artefact, invention and social engineering which enters into the making of nations."16

To understand the concept of nation, therefore, we must first understand the ways that a nation is imagined, or formed. In this regard, Hugh Seton-Watson's distinction between old and new nations is enlightening. He argues that "the process of formation of national identity and national consciousness among the old nations was slow and obscure ... In the case of new nations the process is easier to grasp, for it took place over a much shorter period and is well documented."<sup>17</sup> Anthony D. Smith puts this point even more succinctly: "The West acquired nations almost by accident; in other parts of the globe nations were created by design."18

Susan Hayward differs from Smith, emphasizing that even old nations were deliberately invented. She uses France, one of the "old nations," as an example to argue that:

early theories of the nation-state emerged, in part, in response to how France was perceived from outside ... It will be useful to retain ... the essential notions of nation as myth and nation as difference and continuity as well as the notion of the enunciative role of ideology.19

That is to say, the nation is created; it is based on an assumption of difference to others; the concept of nation-ness is rooted in the continuity of the nation; and nationalist ideology is the discourse that invests a nation with meaning.20

Therefore, contemporary scholars in the West generally share a common point of view that the nation is not a natural phenomenon. In addition, scholars have emphasized the critical role culture plays in the formation of nations and spread of national consciousness. In the words of Arjun Appadurai:

Modern nationalisms involve communities of citizens in the territorially defined nation-state who share the collective experience, not of face-to-face contact or common subordination to a royal person, but of reading books, pamphlets, newspapers, maps, and other modern texts together (Habermas 1989; Calhoun 1992). In and through these collective experiences of what Benedict Anderson (1991) calls "print capitalism" and what others increasingly see as "electronic capitalism", such as television and cinema (Warner 1992; Lee 1993), citizens *imagine* themselves to belong to a national society. The modern nation-state in this view grows less out of natural facts — such as language, blood, soil, and race — and more out of a quintessential cultural product, a product of the collective imagination.<sup>21</sup>

Appadurai highlights the role of culture in the production of nationhood, and it is here that cinema occupies an important position. In fact, there has been much debate about the concept of national cinema in the West. As Andrew Higson claims "there is no single universally accepted discourse of national cinema."22 Since there are a variety of understandings of national cinema, researchers have used several different methods in their analyses, and Higson has listed the four most frequent used critical approaches to national cinema. The first defines national cinema in economic terms. This is an industry-based approach, and is concerned with such questions as: where are these films made, and by whom? Who owns and controls the industrial infrastructures, the production companies, the distributors and the exhibition circuits? The second approach to national cinema is textbased, the key questions being: What are these films about? Do they share a common style or world view? What sort of projections of the national character do they offer? To what extent are films engaged in constructing a notion of nationhood? The third approach to national cinema is exhibition-led, or consumption-based. The major concern here has always been the question of which films audiences are watching — a concern that is generally formulated in terms of an anxiety about cultural imperialism. The fourth and final approach is criticism-led and tends to reduce national cinema to the terms of a quality art cinema.<sup>23</sup>

To sum up the above approaches, the main concepts that define national cinema can be explained as follows: a national cinema means a national film industry; a national cinema refers to film content and style, and the reflection and construction of national character in the film; a national cinema counters the foreign domination of the domestic film market; and a national cinema is an art cinema. In light of this summary, it is evident that these definitions of national cinema relate primarily to issues of culture and industry.

In her book French National Cinema, Susan Hayward states that: "by the 1920s, calls were being made for a truly national cinema as a defense against the American hegemony, all of which (in the implicit concern for the well-being of cinema) points to a historicism and narcissism of sorts."24 Thomas J. Saunders in Hollywood in Berlin: American Cinema and Weimar Germany describes a similar picture:

The interwar period witnessed Europe's first serious reckoning with American economic and cultural influence ... Europe experienced an unprecedented onslaught of what Germans dubbed Amerikanismus (Americanism) and Amerikanisierung (Americanization). This onslaught was effected by a variety of means and media ... But for the broad mass of Europeans the main agent of Americanization was the motion picture.<sup>25</sup>

#### Therefore, Saunders claims that:

the national cinema had limited historical significance without reference to American film ... Historical concern for national identity testifies to the tenacity of perceptions rooted in the 1920s - recognition of America's thematic and stylistic primacy but rebellion against its hegemonic pretensions.<sup>26</sup>

This situation of national cinema in fact has a close connection with the historical conditions of Western European nations. Although not all nations in Western Europe belonged to the so-called "old nations," they were generally at the same level of economic, scientific and technological development as the United States in the 1920s and 1930s. Therefore, the European concept of nation, instead of centering on the advocacy of national liberation or national survival defined itself by contrasting European images and values with those of America. Consequently, national cinemas in Western Europe basically aimed at showing "differences of taste and culture" between Hollywood and Europe.<sup>27</sup>

Therefore, this concept of "national cinema" clearly has a Eurocentric history. However, it is important to note that this is not the only possible way to think about national cinema. Even within Western Europe, other research has demonstrated that national cinemas appear in a range of complicated and sophisticated ways, and thus the concept of national cinema is fluid. Martine Danan's "From a 'Prenational' to a 'Postnational' French Cinema," which analyzes how the concept of national cinema has changed in France since the 1920s, is a good example of this kind of work.<sup>28</sup> In order to compete against Hollywood, French film companies adopted a strategy of producing films with international appeal in the 1920s and the 1980s (as opposed to films with a nationalistic focus), with the hope of winning over European and even American audiences. These filmmakers "relied on a 'fantasy of internationalism' which negated the past and specificity of national cultures."29 Danan's analysis thus shows that this internationalism was self-consciously created as an alternative to national cinema in French cinema's competition with Hollywood. In this sense, the motivating force of both international and national cinema is in fact the same — they are both national projects. Indeed, French intellectuals and politicians have felt it necessary to establish a national cinema since the 1930s, and this has been expressed through government involvement in the film industry. In 1946, the Center National de la Cinematographie was established, formalizing this state intervention in the film profession:

For over 50 years, this mixed economy system, in which economic and cultural objectives, private and public interests are intertwined, has allowed French cinema to combat internal crises, withstand strong external competition, and contribute to the cultural unity needed to strengthen the capitalist nation-state.<sup>30</sup>

In the final analysis, French national cinema therefore served the French nation-state. Danan thus provides another version of the construction of national cinema and gives us some idea of how the notion of national cinema has developed over the last sixty years.

Susan Hayward points out that concern about national cinema "shifts according to which particular nation is being referred to because the concept of a nation's cinema will change according to a nation's ideology."<sup>31</sup> It is this shift that I am going to discuss in the next section on Chinese national cinema. By examining the formation and development of Chinese national cinema, this book uses the European model of national cinema as a starting point from which to formulate a complementary concept of Chinese national cinema. In my view, the most useful thing about the European

model is that it treats the establishment of national cinemas as strategies. As Andrew Higson notes, "the concept of a national cinema has almost invariably been mobilized as a strategy of cultural (and economic) resistance; a means of asserting national autonomy in the face of (usually) Hollywood's international domination."32 Their study of national cinema as a strategy shows that European researchers have emphasized critical analysis rather than advocacy of national cinema. By comparison, most Chinese researchers, as I will show in the next section, in their dealings with the subject are active advocates of Chinese national cinema. Consequently in their eyes the Chinese national cinema does not relate to any strategy and thus does not need to be carefully studied. This attitude toward national cinema has led to a quite different Chinese understanding of the concept, in which the establishment of national cinema is seen as natural and inevitable. This in turn has resulted in a major gap in the existing research on pre-1949 Chinese cinema.

In this book I will use the European concept of national cinema as strategy to focus on the strategies that motivated the establishment of the Chinese national cinema. Moreover, I will argue that although the Chinese national cinema was also about culture and economy, there was a dimension that was determined by specifically Chinese conditions. That is to say, Chinese national cinema's major motivating forces were the Chinese film world's concern for national survival, and Chinese political parties' exploitation of national sentiment.

#### THE CHINESE CONCEPT OF NATIONAL CINEMA

In what ways is Chinese cinema also a national cinema? This section examines the relationship between the concepts of the Chinese nation and Chinese national cinema in the formative period before 1949. I argue that the concept of national cinema in China does not completely conform to the European concept of national cinema. There is indeed a specifically Chinese dimension to the national in Chinese cinema, which forms the basis of discussion in subsequent chapters.

This Chinese dimension relates to the fundamental notion of nationhood in China and thus the first half of this section will focus on the historical, ideological and cultural process of Chinese nation formation. Western scholars have demonstrated that the earliest modern nations emerged in the late eighteenth century. As one of the "new nations," China

did not face the need to form itself into a nation until the mid-nineteenth century. Before that time, China had always considered itself "a vast country at the center of the world," with a civilization superior to all others. In his book On Chinese Nationalist Thought, Lu Fuhui points out that in ancient times "though [China's] territory changed somewhat, the idea 'China is the center of the world' never changed."33 The author summarizes the essentials of this idea as follows: First, China is geographically the center of the globe and it occupies a principal position on the land under heaven. Second, the traditional Chinese civilization far exceeds civilizations in other countries. Third, the relationship between China and other countries should be treated as the relationship between monarch and subjects.<sup>34</sup> Lu Fuhui further points out that "this idea was continuously held until the Qing Dynasty [1644-1911]."35 Within this conceptual framework, the Chinese had never critically self-examined themselves or Chinese civilization.

The Opium War between China and Britain, which began in 1840, marks the start of modern Chinese history. The Chinese Empire, which had lagged behind the West technologically and economically, was then forced to face the challenge of the West. The military, economic, political and cultural expansion of Western imperialist powers put China in a position of great crisis. Chen Yuning holds that:

In modern Chinese history, the Nanjing Treaty between China and Britain was the first unequal treaty among many others which China concluded with the Western powers under the threat of gun muzzles. The Americans and French then came one after the other and forced the Chinese government to conclude Wangsha Treaty with America and Huangpu Treaty with France. During the Second Opium War, the Sino-Japanese War of 1894-1895 ... Britain, France, Japan and Russia once again forced the Chinese government to conclude a series of unequal treaties. With the conclusions of the treaties, the imperialist powers annexed a large part of China's territory and carved out spheres of influence in China. With the economic and cultural invasions from the West, China gradually lost its power to make its own political and economic decisions and was reduced to the status of a semi-colony.36

This was a great crisis for the Chinese Empire. However, the Opium War also marked an historical change in the Chinese understanding of China in relation to the outside world. In fact, the coming of "Western learning"

(a late Qing Dynasty term for Western natural and social sciences) provided a strong stimulus to the development of Chinese civilization. Meanwhile, Chinese politicians and intellectuals' understanding of China as a "nation," and the debates about the relationship between Chinese civilization and Western civilization underwent a complicated change. Aside from the conceptual debate, a series of reforms were adopted, from the "Westernization Movement" (introducing techniques of capitalist production initiated by comprador bureaucrats in the latter half of the nineteenth century in order to preserve the feudal rule of the Qing government) at the physical level, and "Constitutional Reform and Modernization" (1898) and "republicanism" (1911) at the political level, to the "May Fourth Movement" (1919) at the cultural level. In short, Chinese politicians and intellectuals were no longer willing to trust themselves completely to wholly Chinese institutions and culture.

At the beginning of the twentieth century, Chinese politicians and intellectuals started to form a clear concept of nationhood, which, in turn, begot nationalism. Their view was this:

The so-called nation is the community which consists of those people who have continuously belonged to the same kind ... All people within a nation share the same feeling and perception, and are friendly to each other in order to survive together. The political life is the most important one among all kinds of lives within a nation. Therefore any politically powerful nation must have its doctrine which belongs to the nation-state. This doctrine is nationalism,37

This passage clearly demonstrates the emergence of a nationalist discourse in China that declared that all people within a nation shared the same characteristics and interests. This nationalist rhetoric stresses what E. J. Hobsbawm calls "the element of artefact, invention and social engineering which enters into the making of nations."38

The May Fourth Movement in 1919 was one of the most influential ideological and cultural movements in modern Chinese history. In the period leading up to this movement, radical Chinese intellectuals started to negate Chinese tradition, and attack what they saw as the problem of the Chinese character (guomin xing) in preceding years. 39 However, the May Fourth Movement took its name from an anti-imperialist demonstration with a focus on patriotic mobilization that occurred in 1919.40 After the May

Fourth Movement, Chinese academic circles expanded on their earlier theorizing through a series of debates relating to the relationship between Eastern and Western civilizations. Phrases such as "overall Westernization," "supremacy of the quintessence of Chinese culture," "Chinese civilization as the main body and the Western civilization as reference," "Western civilization as the main body and the Chinese civilization as reference," and "the mixture of Chinese and the Western civilizations," appeared over and over again in this debate.<sup>41</sup> This long-lasting dialogue demonstrates the seriousness with which Chinese academic circles took their search for ways to make China a strong nation. Although most of these May Fourth Movement ideas did not appear directly in films, the construction of Chinese national cinema in general was obviously influenced by these May Fourth ideas whose primary concern was China's modernization. 42 The May Fourth Movement and its discourses on enlightenment, modernity and modernization, exerted a profound influence on the development of Chinese nationalism and national cinema.

The 1920s saw a rising sense of national crisis as China was riven by domestic strife among various warlords, and the vast majority of the population lived in dire poverty. China had also failed to regain control over foreign concessions in several coastal cities after the First World War. It was under these circumstances that the ruling party, the KMT, convened the First National Congress in January 1924. As Fuhui writes, "in the declaration of the Congress, nationalism was defined as national independence and self-determination. From then on, the concept of nationalism took root in China and the Chinese national liberation movement had its theoretical guidance."43

In 1925, the May 30th Movement (a nationalist, anti-imperialist movement taking its name from the date on which British soldiers shot and killed several striking Chinese workers) emerged in Shanghai. The cooperation between the KMT and CCP in the 1920s, and the Northern Expedition, which was waged by both parties and aimed at eliminating the northern warlords and unifying China, also proceeded under the banner of nationalism.

In 1931 the Japanese began to occupy Northeast China, and in 1937 a full-scale war broke out between the two countries. Never before had the common people in China felt so intensely that the nation's existence was in peril. Both the KMT and CCP advocated "national unity" and "national survival" to mobilize the masses to fight against the Japanese.

The Japanese invasion and the response of China's politicians illustrates the way in which the concept of the Chinese nation was defined by and through China's relation to other nations. The Chinese nation cannot be seen as a pre-existing, natural entity.

It is clear that the concept of the nation and the advocacy of nationalism in China were more about the survival of the Chinese nation as a united community than anything else. Chinese nationalism was thus always closely related to both anti-imperialism and nation building, and the latter's corollary, modernization. Taking as his starting point the notion of race, Frank Dikötter points out that:

Nationalism was perceived as a key to racial survival by the Chinese students in Japan during the first decade of the twentieth century ... The discourse of race as nation gradually spread to infect most of the writings of the young radicals. Education, for instance, was seen unanimously as a means of uniting the race in its struggle for survival.44

After the Revolution of 1911, Sun Yatsen, the first president of the Republic of China, held that all races in China should be assimilated to the Han race in order to unify the nation. In other words, the Chinese nation should be established on the basis of a unified "Han race." 45 It is significant that Sun Yatsen used the word zu to mean both "nation" and "race" here. As Chen Yuning points out, at that time "race" and "nation" were always used interchangeably in the Chinese language.46 This indicates that the notion of a "single Chinese race" was used in the construction of the Chinese nation. Interestingly, "race" is also a notion constructed by Western evolutionary science. Dikötter writes:

"Race" was a symbol of fictive biological cohesion capable of over-arching regional allegiances and linking lineage loyalties in the face of foreign aggression. "Race" ... would create nationhood. On the basis of internal conflicts between lineages, the reformers constructed a representation of external conflicts between races. Members of the yellow lineage had to fight against members of the white lineage. The Yellow Emperor became the common ancestor of all Chinese. The ancestral territories ... needed to be defended against the white lineage.<sup>47</sup>

Basing the Chinese nation on the Chinese race, Sun Yatsen explained his understanding of the relationship between racial survival and nationalism as follows:

Considering the law of survival of ancient and modern races, if we want to save China and to preserve the Chinese race, we must certainly promote Nationalism ... For the most part, the Chinese people are of Han or Chinese race with common blood, common language, common religion, and common customs — a single, pure race.<sup>48</sup>

Under the banner of "national survival," the advocates of nationalism emphasized the cohesion of the "Chinese nation (or race)" and its common nature and common interests. Meanwhile, internal differences and conflicts were deliberately ignored, reflecting the process of formation of new nations described by Hugh Seton-Watson.

The advocacy of nationalism was also a key strategy used by the two major political parties, the KMT and the CCP, in their struggle for state power from the early 1930s on. Both parties sought to win the masses to their side in the name of the nation.<sup>49</sup>

What kind of role, then, did the Chinese cinema, as an important component of Chinese culture, play in the formation of the Chinese nation and the advocacy of Chinese nationalism? Arthur Waldron, in examining the role of the Great Wall, a major symbol of traditional Chinese culture in modern Chinese nationalism, argues that "China has an ancient and sophisticated culture, and one might expect that turning it to the service of nationalism would be relatively easy. But the case of the Great Wall makes clear the limits of such cultural nationalism." <sup>50</sup> At first glance, it would seem even more difficult for film, an imported art form, to serve Chinese nationalism. As Paul Clark points out:

Film is the most foreign art form introduced into China in the Westernizing cultural upsurge known as the May Fourth movement at the beginning of the twentieth century. Film (along with modern-style spoken drama) was totally new, with no precursors in traditional Chinese literary and artistic activities.<sup>51</sup>

Zhou Jianyun, a Chinese film industrialist, articulated the uncertain nature of Chinese cinema in the 1920s as follows:

Chinese have bought [film] equipment and raw material from Europe and America ... to produce films aiming at expressing the Chinese style ... Now Chinese film does have a style of its own ... and thus can be called Chinese film or domestic film. But it absolutely can't be called domestic-made film.<sup>52</sup>

Here, Zhou Jianyun denies the Chinese film's "Chineseness" because the technology did not originate in China. To claim Chinese cinema as a national cinema is problematic, both in cultural and technological terms.

However, the concept of "national cinema" was not foreign to China in the 1920s. For example, in the foreword of the first Chinese film yearbook, published in 1927, Cheng Shuren maintained that:

Shadow play [film] undertaking has become the greatest new industry in the Republic of China and is useful for social education and for advocating our ancient culture ... Shadow play [film] has been used to greatly enhance our people's patriotic zeal.<sup>53</sup>

Here, Cheng clearly saw filmmaking as an important tool for the Chinese nation, and in 1930, KMT scholars initiated heated discussions about national cinema in China.<sup>54</sup> In fact, filmmaking was treated as a national cause even before 1930, though the meaning of the concept of "national cinema" varied. At times it even seemed that a so-called national cinema existed right from the birth of Chinese cinema. For example, in an article about the process of the establishment of the China Nantong Film Production Studio (*Nantong Zhongguo yingpian zhizao gongsi*), one of the earliest Chinese film companies, the author writes, "in foreign films, the Chinese customs and national characters are distorted and Chinese people are vilified."<sup>55</sup> The studio therefore aimed "to display the merits of the Chinese nation."<sup>56</sup> Apparently, the founders of the studio saw the establishment of a positive image of the Chinese nation as their national duty.

Some even viewed film as a kind of "good medicine" that could and should be used to save China. For example, one commentator warned that "if we cannot awake the Chinese masses to catch up with the foremost, China's future is truly in danger ... We should like to ask: Can we find any better tool than film to educate our people?"<sup>57</sup>Here "film" obviously means domestic Chinese film. He then goes on to argue that:

China was originally an advanced country ... and was too superior for any other country to bear comparison. Nowadays, Western influence has reached here. As a result, those young reformers are talking glibly about freedom and have quickly forgotten our Chinese cultural quintessence ... In order to develop the intelligence of our fellow countrymen, we must first

of all develop our domestic film production ... Once our domestic films become popular, our countrymen's knowledge will be enriched correspondingly. Once our countrymen's knowledge is enriched, we can transform China from a weak country into a strong one.<sup>58</sup>

In discussions on how to use film to serve the Chinese nation, other writers declared that "scriptwriters should know well what is the intrinsic Chinese national spirit before they write," of and that "for the interests of our nation and our country, the film world should take the responsibility of encouraging our national spirit to save the whole Chinese nation."

The concept of national cinema in China thus differs from the European concept of national cinema. As is clear from the expositions of Chinese national cinema already cited, the major concern of advocates of a Chinese national cinema was the Chinese nation, rather than Chinese cinema per se. The Chinese did not share the Europeans' concerns for "a massive invasion of American culture" or "the well-being of cinema," and the emphasis of Chinese national cinema was therefore placed on the national ideology of this cinema, rather than on national culture as form. The following brief account of Chinese cinema before 1949 may help to clarify this point.

## An Outline of the Concept of the National in Pre-1949 Chinese National Cinema

Film production began in China in the early twentieth century, and coincided with the emergence and rapid development of the Chinese nationalist movement. There is an old Chinese saying that "literature and art are carriers of doctrines" (wen yi zai dao), and thus it is no surprise that in China, film, as a form of literature and art, was naturally used as a tool to convey ideology. In the initial stages of Chinese cinema, Chinese filmmakers tried to find characteristics shared by film and Chinese traditional art forms, with the idea of converting film into an indigenous national art form. For example, early Chinese film audiences called film "shadow play," and the first Chinese films were based on the Beijing opera.<sup>62</sup>

Andrew Higson argues that in the 1910s "governments began to recognize the potential ideological power of cinema, and cinema itself could seem to be something like a national cultural form, an institution

with a nationalizing function,"63 however, the Chinese chronology is slightly different. Since the Chinese film industry was established later than those in many Western countries, the Chinese government was relatively late to realize the ideological possibilities of the medium. Nevertheless, it is clear that Chinese filmmakers, independent of state intervention, had intended to construct cinema as a national cultural form in order to serve the Chinese nation. From the 1920s, the Chinese film world treated cinema as a component of the Chinese national industry, and Chinese cinema indirectly but profoundly reflected Chinese nationalist ideologies after the May 30th Nationalist Movement. From the early 1930s, both the KMT and the CCP exerted a direct political influence on filmmaking. Consequently, the Left Wing Film Movement and the Nationalist Film Movement, initiated respectively by the CCP and the KMT, came into being. As invasion by Japan became more and more likely, both parties accused the other of endangering the Chinese nation, and, significantly, both flaunted the banner of nationalism in film activities. Nationalism thus had different definitions in cinema and became a weapon in the struggle for power between the political parties. During the eight-year anti-Japanese war (1937-1945), the CCP and the KMT united in the film world for the first time in order to produce films with themes of national unity and liberation, and these films themselves became symbols of national unity. Films produced in Japanese-occupied Shanghai and Manchuria during the same period became controversial in terms of their Chinese identity. The most prominent feature of Chinese cinema between 1946 and 1949 was that filmmakers continued to show their strong concern for the future of the Chinese nation, even when it was no longer facing foreign aggression. They asked a new question: could China take the road towards modernization?

The issue of the national thus played an important role in shaping Chinese cinema before 1949. Furthermore, the Chinese concept of national cinema changed constantly in relation to changes in the political situation, and the political parties' involvement in, and advocacy of, Chinese national cinema further complicated the situation. To a certain extent, this political advocacy of Chinese national cinema arose not from a concern with the nation's interests, but from the self-interest of the political parties. As a result, what the Chinese cinema performed most efficiently as a national cinema was in fact a political function. It is in this sense that the Chinese national cinema differentiated itself even further from European national cinemas, which mainly functioned to embody European cultural values.

The different meanings of national cinema must therefore arise from different versions of nationalism. In his *Nationalism and the State*, John Breuilly identifies three different ways in which people treat nationalism — as a state of mind, as the expression of national consciousness, and as a political doctrine elaborated by intellectuals. According to the first view, nationalism arises ultimately from some sort of intrinsic national identity. The second view regards nationalism as the expression of something "deeper" such as class interest or an economic or social structure or a cultural formation. Finally, nationalism is understood to be about politics, and politics to be about power.<sup>64</sup> Breuilly favors the third of these approaches, since he holds that only by studying nationalism as a form of politics can we consider the contributions of culture, ideology, class and so on. He concludes: "The term 'nationalism' is used to refer to political movements seeking or exercising state power and justifying such action with nationalist arguments."

This book concurs with Breuilly's position on nationalism, and as the following chapters will demonstrate, Chinese conditions illustrate this theory particularly well. In China, one of the "new nations," nationalism mainly took the form of politics. Consequently what the Chinese cinema, as a national cinema, participated in and reflected, was a nationalism about politics.

Therefore the concept of "Chinese national cinema" refers not only to the way in which the nation was filmed and the national culture embodied, but more importantly, it also relates to a type of nationalism which reflects Chinese political struggles. In considering the unique connotations of Chinese national cinema, I will therefore cover two major aspects of the construction of the national in Chinese cinema in this book. First, I will treat the cinema as both the reflection of Chinese nationalism and a medium for the reinforcement and reproduction of that nationalism. Second, I will look at cinema as a site where different versions of nationalism were expressed and contested.

I also realize that the advocacy of Chinese national cinema was to a certain degree actuated by film producers, and sometimes filmmakers, concerns for the interests of the Chinese film industry. In dealing with this issue we face another related issue: Chinese cinematic characteristics in regard to both film content and style. In these respects (industrial and stylistic), Chinese cinema seems to share features with European cinemas concerning the advocacy of the national. However in China, as I will

demonstrate, the industrial and stylistic aspects were in fact less important than the major factor in the advocacy of national cinema: Chinese nationalism.

Chinese film producers' concerns about the fate of the domestic film industry arose from the disadvantaged competitive position which the Chinese film industry had always faced with regard to foreign films. According to the material provided in Shanghai Historical Film Materials (Shanghai dianying shiliao) (vol. 5), for example:

The film market in Shanghai in the 1930s and 1940s was monopolized by American and British films, especially American films. The Americans and British had their distribution companies in all big cities such as Shanghai, Beijing, Tianjin and Guangzhou. Therefore, they dominated the Chinese film market with their overwhelming superiority. According to historical data, China produced 89 feature films in 1933, while the figure of imported films in that year reached 421. Among those imported films, 309 were American films.66

On a number of occasions Western businessmen planned to monopolize film exhibition, and even film production, in China, because of the huge profits to be made in the Chinese market. For example, in the 1920s the British and American Tobacco Company intended to buy all Chinese film companies and movie theaters, and to establish a film trust in China.<sup>67</sup> Under such circumstances, Chinese film producers found that they could not win in a head-on clash with foreign film companies. The only way out for them was to develop Chinese cinema as a national cinema. Hence their advocacy of a national cinema was motivated, at least in part, by the desire to maximize profits.

The film producers' strategy to promote Chinese national cinema had two main thrusts — the arguments that the Chinese audience should watch only films made by Chinese people in consideration of the nation's interests, and that Chinese national cinema should have a uniquely Chinese content and style.

The notion that it was important for the preservation of the Chinese nation for audiences to watch only Chinese films was elaborated by studio representatives in comments such as this one:

Foreign films cannot be used to educate our countrymen since they do not conform to our national conditions. Therefore we can only rely on domestic films ... I hope our countrymen, motivated by a desire to love our country and to strengthen our nation, can be specially tolerant towards our domestic film production, and advocate it and help it.<sup>68</sup>

#### A rival studio had a similar viewpoint:

We must clearly understand that the development of our film undertaking has a close connection with the life of our countrymen, the spread of our culture and the honour of our nation... We should treat our domestic film like our own child.<sup>69</sup>

One of the managers of Mingxing Studio, Zhou Jianyun, emphasized the importance of developing domestic film production from an economic perspective:

If anybody can accurately calculate how much money has flowed out of the pockets of our countrymen overseas along with the continuous importation of European and American films, I am sure our countrymen will be astonished, even though they always look at film undertakings as just a kind of game.<sup>70</sup>

While the film producers' were motivated by financial self-interest, their strategy concentrated on politics, and they clearly felt that stressing the national sentiment issue was an effective way of winning over their potential audience.

Luo Mingyou, the general manager of Lianhua Film Studio, elaborated on the idea that the content and style of Chinese films ought to reflect China's unique culture:

Since the Chinese territory is vast and Chinese culture has a history of five thousand years, we have a large amount of cultural relics and beautiful landscapes. If all these things are filmed, I believe there will be a lot of excellent works. Compared with those foreign film productions which are only good at wasting material resources and showing us kisses and embraces, our domestic films will be far superior. They will be not only efficacious at reforming the ways and manners of our people and therefore performing their function of social education, but could also be used to display our national merits when Chinese films become popular worldwide.<sup>71</sup>

As to film style, one of the major characteristics of Chinese cinema in Chongqing (Chungking) during the period of the anti-Japanese war was to advocate the so-called "Chinese manner" and "Chinese style." The ultimate purpose of this was not the development of cinema per se, but the effective mobilization of the Chinese masses in the struggle for national liberation.<sup>72</sup>

The Chinese cinema undoubtedly had Chinese characteristics in its subject matter, narrative model, world-view, style and formal system, and I will occasionally refer to these in the following chapters. But in light of the motives of the political parties (power) and the film producers (money) for the advocacy of national cinema, we have to admit that the "Chinese characteristics" were not exclusively natural or pre-existing. Moreover, as we examine the important relationship between Chinese cinema and the Chinese nationalist movement — the decisive factor in determining the "national" in Chinese cinema — we will find that these so-called Chinese characteristics in fact play a subordinate role.

For example, the costume films and martial arts films that prevailed in the 1920s were rapidly replaced by left- and right-wing films in the first half of the 1930s, as the domestic and international political situations grew increasingly chaotic and worrisome. Changes in content and style specific to certain film genres during the same period were due to external forces, not the evolution of filmmaking or the advocacy of film producers and filmmakers. Chief among these external forces were nationalist ideologies, which were an obvious determining factor.

As will be demonstrated in the following chapters, the Chinese national cinema, like European national cinemas, developed in constant dialogue with Hollywood. The competing tendencies of Westernization and Sinicization in Chinese film production co-existed from the beginning, and discussions about these issues were constant. By using foreign (Western and, sometimes, Russian) films as a reference, Chinese filmmakers' conception of cinema was constantly changing and Chinese national cinema by no means developed in a state of isolation from the outside world. If we argue that the substance of Chinese film was relatively more "Chinese" because it was determined by Chinese reality, then we also have to concede that the formal structure of Chinese film was greatly influenced by foreign films. However, as the following chapters will demonstrate, the strategies adopted by Chinese film producers and filmmakers in their advocacy of the national film industry and national style were either identical with or

subordinate to the strategies used in the advocacy of Chinese national cinema in terms of Chinese nationalism.

There remains another issue important to any discussion of Chinese national cinema: that of present-day Chinese scholars' attitude toward national cinema, since "Chinese national cinema" in China is not only a historical product, but also the outcome of the present-day's elaboration of the issue. People in China today frequently use the phrase "national cinema" and generally look at it as a natural thing. For example, in the Dictionary of Film Art (Dianying yishu cidian) published by China Film Press in 1986, there is this entry on "nationalization of film" (dianying minzuhua):

Nationalization of film means "national style of film" (dianying minzu fengge). It usually equals to national style of film, national characteristics of film (dianying minzu tezheng) or national identity of film (dianying minzu xing). This is a controversial theoretical issue in China. The first attitude towards the issue is that the national is that which is true to life. People who hold this view believe that if only we truthfully reflect our nation's life, the Chinese film is assured of its national identity. The central issue of the nationalization of film is to make films understandable for peasants. The second attitude toward nationalization is that film should have attained national characteristics from its content and form. The crux of nationalization here is to inherit and develop traditional Chinese aesthetics. The third attitude is against the advocating of national film. People of this view claim that the wording of nationalization of film is confusing. They believe that it is unnecessary to discuss the nationalization of film since film is an imported art form and its techniques of expression are universal ... Like historical style and class style, national style belongs in the field of artistic style. It includes national spirit, national qualities, national culture, national customs and habits, and national landscapes.<sup>73</sup>

Notably, contemporary Chinese researchers do not relate national cinema to nationalism or the reflection of nationalism in cinema. Instead, they treat the issue as relating solely to style. Whether national cinema is seen as an inevitable thing (the first attitude), or something which must be created (the second attitude), or something which does not exist (the third attitude), national cinema is approached simply as a national form.

Therefore, Chinese researchers indicate that there is no hidden motive behind the advocacy of Chinese national cinema, demonstrating that researchers of Chinese national cinema refuse to seriously consider what and how "the national" really signifies. This leads to a situation in which the term "Chinese national cinema" is always presumed to be about style, while the real meaning of the term may be quite different. In this book, I adopt a quite different research perspective, by considering how the construction of the national in pre-1949 Chinese national cinema was strategically defined in relation to Chinese nationalism.

I have not included the Hong Kong and Taiwan cinemas in this study because they generally did not participate in the nation-building project of Chinese national cinema. The previously mentioned Poshek Fu article, "Between Nationalism and Colonialism: Mainland Émigrés, Marginal Culture, and Hong Kong Cinema 1937-1941," clearly revealed the nature of "the other" of wartime Hong Kong cinema in relation to national cinema in mainland China. Since Taiwan was a Japanese colony until the end of World War Two, its cinema also did not participate in the construction of Chinese national cinema.

#### PRE-1949 CHINESE NATIONAL CINEMA: A PERIODIZATION

I have demonstrated that at various times before 1949, different stresses were laid on the national element of Chinese cinema. This book goes on to explores these differences according to established historical periods: pre-1920s, the 1920s, 1931-1936, 1937-1945 and 1946-1949. This standard periodization seems outdated, and is clearly related to political parties' (especially the CCP's) connection with filmmaking. However, I have adopted this periodization precisely because I aim to show that advocacy of national cinema in China was closely linked to political parties' participation in film creation, and that in this sense Chinese national cinema was politically rather than culturally oriented.

It is also important to reiterate that Chinese nationalism always related to both anti-imperialism and nation building, although advocacy of Chinese national cinema had different focuses during different periods.

#### Cultural Awareness (Pre-1920s)

I analyze the initial attitude of Chinese audiences and filmmakers toward film as a kind of cultural awareness. When film was introduced in China

at the end of nineteenth century, Chinese audiences immediately named it "shadow play" (yingxi), the name of the traditional Chinese leathersilhouette show, indicating that film, to a certain degree, had a connection with a traditional Chinese art form. When Chinese filmmakers began producing the first Chinese films, they gained inspiration from Beijing opera rather than modeling their work on foreign films. As a result, the earliest Chinese films were Beijing opera films. This initial attitude of Chinese audiences and filmmakers, which associated film with traditional Chinese art forms, was governed by the general understanding in China of the relationship between Western and Chinese civilizations. China was in the midst of a cultural crisis when Western culture (including film) was introduced into China, and as a result, filmmakers tried to use film, a foreign art form, to carry forward traditional Chinese culture. In other words, they sought to transform film into an indigenous art form in the hope of finding a sense of cultural security. I therefore look at cultural awareness as a prelude to Chinese cultural nationalism, since Chinese filmmakers were not highly conscious of using film to serve the construction of the Chinese nation, though they were keen to advocate Chinese culture through film.

#### Industrial Nationalism (the 1920s)

The 1920s saw the rapid development of the Chinese film industry, and it is clear that the Chinese film community regarded the establishment of a strong film industry as a contribution to Chinese national industry. I therefore define the Chinese national cinema during the 1920s as "industrial nationalism." Two tendencies emerged in the filmmaking of this era: Westernization and Sinicization. These two seemingly contradictory tendencies were in fact both used to strengthen the Chinese film industry and to establish a national cinema. Westernization, which was reflected in family melodrama films, was a strategy adopted by the Chinese film industry in order to increase its competitiveness against imported films by adopting some of their techniques. Meanwhile, Sinicization, which was reflected in costume films and martial arts films, revealed filmmakers and audiences' nationalist sentiment after the May 30th Movement. Family melodrama films, costume films and martial arts films all evolved into commercial film movements and thus greatly accelerated the development of the Chinese film industry. In the 1920s, national cinema referred mainly to China's national film industry.

#### Class Nationalism Versus Traditionalist Nationalism (1931–1936)

Political parties became involved in filmmaking in the 1930s. The Left Wing Film Movement and the Nationalist Film Movement, initiated respectively by the CCP and the KMT, presented two competing discourses of nationalism. Initially, the CCP was presumed to represent the interests of the proletariat while the KMT represented the interests of the propertied class. However, both parties claimed that they represented the interests of the entire nation through filmmaking and accused the other party of endangering the nation, as invasion by Japan became a pressing concern. The CCP's nationalism was clearly class-based, since the CCP believed that the party and the classes it represented truly served the interests of the Chinese nation. In its association of class struggle with its advocacy of nationalism through films, the CCP revealed its ultimate purpose, the overthrow of the KMT government. By comparison, the KMT advocated the idea of national survival by endorsing Confucian values as "Chinese tradition" and avoided the issue of class altogether. The KMT thus defined the Chinese nation in moral and cultural terms, rather than in relation to class, and film's social significance was viewed conservatively and aimed at maintaining KMT rule. I therefore interpret the CCP and the KMT rhetoric about nationalism and national cinema as self-justifications for their struggle for state power. From this point onward the Chinese national cinema was a contested concept in terms of politics.

#### Colonial and Anti-colonial Nationalisms (1937-1945)

The Japanese invasion inevitably caused a strong sense of national crisis in China, and Chinese filmmakers and audiences were no exception. I have discussed the way in which the Chinese national cinema was constructed around Chinese nationalism, while Chinese nationalism's main concern was the survival of the nation. China's wartime national cinema unsurprisingly reflected this major characteristic of Chinese national cinema. Seeing the Japanese invasion as an immense threat to the nation's survival, Chinese filmmakers addressed themes such as patriotism, and national cohesion and survival. Meanwhile the Japanese, relying on their military power, established their own film production base in Northeast China and exerted influences on the Shanghai film industry. In films produced in these areas the Japanese advocated the idea of racial conflict between Asians and Europeans, with the aim of furthering their

colonization of China. The terms colonial and anti-colonial nationalisms therefore refer to the different film activities conducted by the Chinese and Japanese concerning nationalism.

#### Nationalism and Modernization (1946-1949)

With the defeat of the Japanese, post-war Chinese cinema reflected the decline of nationalism as an issue of paramount importance in Chinese society, and in the absence of a foreign threat China's modernization became a priority. The focus of nationalism therefore became the building of a strong and modernized Chinese nation. In the midst of such circumstances, Chinese filmmakers expressed their loathing for political corruption in China and their longing for a democratic polity. Moreover, they began to rethink the relationship between tradition and modernity in terms of ethics and culture. For the first time, filmmakers viewed modernity as an important component of their national project. Furthermore, they attached equal importance to Chinese tradition and Western experiences in terms of the techniques of film expression. This was consistent with the change in film content, since Chinese filmmakers adopted an attitude of incorporating techniques and themes from the West, as well as from Chinese tradition, to develop Chinese national cinema in terms of both content and form.

The aspects of Chinese national cinema outlined above show that the concept of national cinema in China was fluid, contested and multi-faceted. In the following chapters I will address this concept through two main strategies. Firstly, the discussion of Chinese national cinema will be closely linked to a discussion of Chinese nationalism. That is, the creation and development of Chinese national cinema will be examined against the background of the development of Chinese nationalist movements. This research on Chinese national cinema will therefore be, to a certain degree, research on Chinese nationalism, and major nationalist movements, trends in art and literature relating to nationalism and political parties' discourses on nationalism will be brought into focus in addition to different discourses on national cinema. Within this framework, Chinese national cinema is examined as a microcosm of Chinese nationalism, which both reflected and participated in the construction of the Chinese nation. The purpose of this research is thus the production of a new interpretation of Chinese national cinema and, in a broader context, an interpretation of Chinese

nationalism. Chinese nationalism and national cinema are competing concepts because various groups at various times created different discourses around nationalism and national cinema. By closely linking Chinese national cinema with Chinese nationalism, I hope to make the complexity of the concept of Chinese national cinema clear.

Secondly, I will examine the concept of Chinese national cinema in terms of the concrete development of pre-1949 Chinese cinema. In other words, pre-1949 Chinese cinema had a unique developmental path, and any discussion of the national element of Chinese national cinema should be closely linked to this history. For example, I describe the major characteristic of Chinese cinema in the 1920s as industrial nationalism. since the film world viewed the establishment of a strong film industry as a contribution to the national industry. This conclusion is drawn from the development of the cinema itself as well as from Chinese nationalism in general. The 1920s was a critical period for the development of the Chinese film industry, and the establishment of a strong domestic film industry was rhetorically addressed in the film community in terms of the establishment of a national film industry. This discourse on national cinema was largely generated by the film world out of consideration of the cinema itself, and the Chinese national cinema was thus clearly not exclusively a reproduction of nationalism in Chinese society. Therefore, the complexity of Chinese national cinema can only be understood if the complexity of Chinese film history itself is fully realized. By linking the national to specific film history, I therefore intend to not only bring out the full meaning of the concept of Chinese national cinema, but also attempt a history of Chinese film before 1949. Research in film history in mainland China is largely treated as a political issue, and answers to questions such as what is included, what is excluded and specific interpretations, are largely determined by political factors. Meanwhile, researchers outside China are limited due to a lack of material relating to the Chinese cinema. Based on a considerable amount of primary material about the cinema, and from a perspective of national cinema I intend to address the gap in research about pre-1949 Chinese cinema, while also hoping to extend our understanding of national cinema in general, and contributing to scholarship on the historical production of the modern Chinese nation.

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#### **Notes**

#### CHAPTER 1

- 1 Cambridge: Cambridge University Press, 1987.
- 2 Beijing: China Film Press.
- 3 See Jay Leyda, *Dianying: An Account of Film and Film Audience in China*, Cambridge: MIT Press, 1972, pp. 139–40.
- 4 Cheng Jihua et al., (vol. 1), p. 89.
- 5 Ibid., p. 136.
- 6 The term "the national" in this book relates to not only the ethnic sense of "nation" (minzu) but also the political sense of "country" (guojia). It also covers a multitude of different political, cultural and economic projects in which the development of something known as the "nation" is privileged, although the "national" is differently understood and defined in different projects.
- 7 Yingjin Zhang, ed. Cinema and Urban Culture in Shanghai, 1922–1943, Stanford: Stanford University Press, 1999, pp. 183–99.
- 8 Cinema and Urban Culture in Shanghai, 1922-1943, p. 199.
- 9 In Poshek Fu and David Desser, eds. *The Cinema of Hong Kong: History*, *Arts, Identity*, Cambridge: Cambridge University Press, 2000, pp. 199–226.
- 10 Poshek Fu, "Between Nationalism and Colonialism," p. 208.
- 11 Historical sources cited in this book are mainly from film-related publications before 1949 such as Mingxing Special Issue (Mingxing tekan) and Lianhua Pictorial (Lianhua huabao)
- 12 Benedict Anderson, Imagined Communities: Reflections on the Origin and Spread of Nationalism, London: Verso, 1983, p. 4.
- 13 Ibid., p. 5.
- 14 Ernest Gellner, Thought and Change, London: Weidenfeld & Nicolson, 1964, p. 168.
- 15 Ernest Gellner, *Nations and Nationalism*, Oxford: Basil Blackwell, 1983, pp. 48-9.

- 16 E. J. Hobsbawm, Nations and Nationalism Since 1780: Programme, Myth, Reality (2nd edition), Cambridge: Cambridge University Press, 1992, p. 10.
- 17 Hugh Seton-Watson, Nations and States: An Enquiry into the Origins of Nations and the Politics of Nationalism, Boulder: Westview Press, 1977, p. 9
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- 19 Susan Hayward, French National Cinema, London: Routledge, 1993, p. 5.
- 20 Ibid., pp. 2-4.
- 21 Arjun Appadurai, Modernity at Large: Cultural Dimensions of Globalization, Minneapolis: University of Minnesota Press, 1996, p. 161.
- 22 Andrew Higson, "The Concept of National Cinema", *Screen* 30, 4 (1989): 36–46.
- 23 Ibid.
- 24 Susan Hayward, p. 5.
- 25 Thomas J. Saunders, Hollywood in Berlin: American Cinema and Weimar Germany, Berkeley: University of California Press, 1994, p. 1.
- 26 Thomas J. Saunders, p. 10.
- 27 Thomas J. Saunders, p. 243.
- 28 In Film History 8, 1 (1996): 72-84.
- 29 Ibid.
- 30 Ibid.
- 31 Susan Hayward, p. 6.
- 32 Andrew Higson, "The Concept of National Cinema."
- 33 Lu Fuhui, On Chinese Nationalist Thought (Zhongguo minzuzhuyi sixiang lungao), Wuhan: Central China Teachers University Press (Huazhong shifan daxue chuban she), 1996, p. 119.
- 34 See Lu Fuhui, pp. 119-20.
- 35 Lu Fuhui, p. 121.
- 36 Chen Yuning, The Historical Studies About the Cohesion of the Chinese Nation (Zhonghua minzu ningjuli de lishi tansuo), Kunming: Yunnan People's Publishing House, 1994, p. 377.
- 37 Cited by Lu Fuhui, pp. 293-4. It was originally written by Jing Wei, "The People of a Nation" (*Minzu de guomin*), *Minbao* 1, 1903(?).
- 38 E. J. Hobsbawm, p. 10.
- 39 See Vera Schwarcz's The Chinese Enlightenment: Intellectuals and the Legacy of the May Fourth Movement of 1919, Berkeley: University of California Press, 1986, pp. 117-38.
- 40 See Bonnie S. McDougall and Kam Louie's *The Literature of China in the Twentieth Century*, London: Hurst & Company, 1997, pp. 13–20.
- 41 See Guo Xuyin, ed. New Chinese Modern History (Xin bian Zhongguo xiandai shi), Shanghai: Shanghai People's Publishing House, 1996, pp. 39-44.

- 42 For the relationship between the May Fourth Movement and Chinese cinema, please see Paul G. Pickowicz's "Melodrama and the 'May Fourth' Tradition of Chinese Cinema," in Ellen Widmer and David Der-wei Wang, eds. From May Fourth to June Fourth: Fiction and Film in Twentieth-Century China, Cambridge: Harvard University Press, 1993, pp. 295-326.
- 43 Lu Fuhui, p. 346.
- 44 Frank Dikötter, The Discourse of Race in Modern China, London: Hurst & Company, 1992, p. 111.
- 45 See Chen Yuning, p. 383.
- 46 Chen Yuning, p. 382.
- 47 Frank Dikötter, p. 71.
- 48 Cited from Frank Dikötter, p. 124. Sun Wen (Sun Yatsen), The Three Principles (Sanminzhuyi), Shanghai: Shanghai Yinshuguan, 1927, pp. 4-5.
- 49 There is a detailed description of this struggle in chapter 4.
- 50 Arthur Waldron, "Representing China: The Great Wall and Cultural Nationalism in Twentieth Century," in Harumi Befu, ed. Cultural Nationalism in East Asia: Representation and Identity, Berkeley: University of California Press, 1993, pp. 36-60. The limits of the Great Wall here mean that the meanings of the Great Wall can also be interpreted negatively, such as the symbol of chains on Chinese people's minds.
- 51 Paul Clark, "The Sinification of Cinema: The Foreignness of Film in China," in Wimal Dissanayake, ed. Cinema and Cultural Identity: Reflection on Film from Japan, India, and China, Lanham: University Press of America, 1988, pp. 175-84.
- 52 Zhou Jianyun, "The Prospect of Chinese Cinema" (Zhongguo dianying de qiantu) (part 2), Film Monthly (Dianying yuebao) 2 (1928).
- 53 Cheng Shuren, ed. China Film Industry Yearbook (Zhonghua ying ye nianjian), Shanghai: China Film Industry Yearbook Editorial Department, 1927, foreword.
- 54 Details about the discussion in 1930 will be addressed later in this chapter.
- 55 See Shenbao, "The First Signs of an Improvement in Chinese Film Undertaking" (Gailiang Zhongguo yingpian shiye zhi xiansheng), 22 August 1922.
- 56 Ibid.
- 57 Wei Yaoqing, "The Strategy of Promoting Domestic Film to Save the Country" (Tichang guochan wei jinri jiuguo zhi liangji), Xinxin Studio Special Issue 1 (1925).
- 58 Ibid.
- 59 Pei Juan, "Film and National Spirit" (Dianying yu minzu jingshen), Dagongbao, 6 August 1929.
- 60 Shi Huan, "The Responsibility of Chinese Film World" (Zhongguo dianying jie ying fu qi de shiming), Silver Star (Yin xing) 9 (1927).

- 61 Thomas I. Saunders, p. 3; Susan Hayward, p. 5.
- 62 There is a detailed discussion in chapter 2.
- 63 Andrew Higson, p. 43.
- 64 John Breuilly, introduction to Nationalism and the State (2nd edition), Manchester: Manchester University Press, 1995.
- 65 John Breuilly, Nationalism and the State.
- 66 Shanghai Film Bureau, ed. Shanghai Historical Film Materials, Shanghai: n.p., 1994, p. 6.
- 67 See Gu Jianchen's "The History of Development of Chinese Cinema" (Zhongguo dianying fada shi), in China Educational Film Association, ed. China Film Yearbook, Nanjing: China Education and Film Association, 1934. In chapter 3 there is a detailed discussion of the film activities of the British and American Tobacco Company in China.
- 68 Wei Yaoqing, "The Strategy of Promoting Domestic Film to Save the Country" (Tichang guochan wei jinri jiuguo zhi liang ji), Xinxin Studio Special Issue 1 (1925). Since the article was published in a special issue of Xinxin Film Studio, it is a reasonable conclusion that the article was written by a publicity agent of Xinxin Studio.
- 69 Feng Er, "The Responsibility of Our Film Audience Should Shoulder in Regards to the Chinese Film Revival Movement" (Wo guo dianying guanzhong duiyu guopian fuxing yundong ying fu de zeren), Shadow Play *Journal* 1, 9 (1930): 32–3. Feng Er is obviously a pen name. Since Shadow Play Journal was run by Lianhua Film Studio, this article in fact represented the stand of Lianhua Studio.
- 70 Zhou Jianyun, "The Prospect of Chinese Cinema," Film Monthly 2 (1928).
- 71 Luo Mingyou, "A Letter to People in the Film Trade for Reviving the Chinese Film" (Wei guopian fuxing wenti jinggao tongye shu), Shadow Play Journal 1, 9 (1930).
- 72 See chapter 5 for a detailed description.
- 73 Dictionary of Film Art, p. 13.

#### CHAPTER 2

- 1 Cited from Arthur Waldron's "Representing China," in Harumi Befu, ed. Cultural Nationalism in East Asia, pp. 37-8.
- 2 See Vera Schwarcz's The Chinese Enlightenment, pp. 117-38.
- 3 The reactions of the Chinese audiences to film, as I will relate, varied according to time and place. Here, the reference to the audiences' attitude toward film refers to a general tendency only.
- 4 On August 11, 1896, Another Village, a teahouse in the Xu Garden of Shanghai showed "shadow plays from the West" which was the first film

- screening in China. See the advertisement by Xuyuan Garden in Shenbao on 10 August 1896. Cited from Cheng Jihua et al., vol. 1, p. 8.
- 5 See "Notes of Viewing American Shadow Play (Guan Meiguo yingxi ji)," Recreation (Youxi bao) 74 (1897), cited from Cheng Jihua et al., (vol. 1): 8.
- 6 "The Teaching Material of Changming Corresponding Film School" (Changming dianying hanshou xuexiao jiangyi), Screen Review (Yinmu pinglun) 1, 1 (1926).
- 7 Jing Yi, "Chinese Shadow Play Five Hundred Years Ago" (Wu bai nian gian de Zhongguo yingxi), Popular Film News (Dazhong ying xun) 2, 16 (1941): 542.
- 8 See Wang Yue, "The Cradle of Chinese Cinema" (Zhongguo dianying de yaolan), Film and Television Culture (Ying shi wenhua) 1 (1988): 295-301.
- 9 Recreation, "Notes of Viewing American Shadow Play."
- 10 Luo Yijun, "Cultural Tradition and Chinese Film Theory" (Wenhua chuantong he Zhongguo dianying lilun), Film Art (Dianying yishu) 4 (1992): 20-30.
- 11 See Xu Chihen's "Tracing the Origin of Chinese Shadow Play" (Zhongguo yingxi zhi suyuan), in Xu Chihen, ed. An Outline of Chinese Shadow Play (Zhongguo yingxi daguan), Shanghai: Shanghai Hezuo Publishing House, 1927.
- 12 For example, Tianhua Teahouse advertised its film screening in Shenbao on 27 July 1897 as follows: "This kind of play (xi) is completely operated by machine. It is lifelike and there are various theatrical programs (ximu)" (cited from Cheng Jihua et al., vol. 1, p. 8). This indicates that film and traditional Chinese opera belonged to the same category, as xi and ximu were specifically related to opera, and the only difference was that film was operated by a machine.
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- 14 Shanghai: Shijie Shuju, 1938, p. 299-300.
- 15 Xu Muyun, p.300.
- 16 Xu Muyun, foreword, p. 1.
- 17 Tom Gunning, "The Cinema of Attraction: Early Film, Its Spectator and the Avant-garde, Wide Angle 8, nos. 3-4 (Fall, 1986): 63-70.
- 18 See the advertisement for Western films in Shenbao, 27 July 1897.
- 19 Weng Minghua, Chinese Opera (Zhongguo xiju), Shanghai: Shanghai Ancient Book Publishing House, 1996, p. 105.
- 20 Miriam Hansen, "Early Cinema, Late Cinema: Permutations of the Public Sphere, Screen 34, 3 (1993): 197-210.
- 21 Cheng Jihua et al., vol. 1, pp. 8-9.
- 22 Cited from Cheng et al., vol. 1, p. 8.

- 23 See Xiao's "The Development of Film Enterprise in Beijing" (Beijing dianying shiye zhi fada), Film Weekly (Dianying zhoukan) 1 (1921); and Yu Muyun's Anecdotes of Hong Kong Cinema (Xianggang dianying zhanggu), Hong Kong: Guangjiaojing Publishing House, 1985, p. 5.
- 24 See Weng Minghua's Chinese Opera, p. 18. About teahouses as sites of film exhibition, please also see Zhen Zhang's "Teahouse, Shadowplay, Bricolage: 'Laborer's Love' and the Question of Early Chinese Cinema," in Yingjin Zhang, ed. Cinema and Urban Culture in Shanghai, 1922-1943, pp. 27-50.
- 25 See Li Suyuan and Hu Jubin's Chinese Silent Film History (Zhongguo wusheng dianying shi), Beijing: China Film Press, 1996, pp. 17-8.
- 26 Weng Minhua, p. 98.
- 27 Shen Ziyi, "Film in Beijing" (Dianying zai Beijing), Film Monthly 6 (1928).
- 28 See Xiao's "The Development of Film Enterprise in Beijing."
- 29 Ku Sheng, "The Conditions of Recent Film Exhibition in Tianjin" (Zuijin Tianjin dianying shiye zhi zhuangkuang), Film Journal (Dianying zazhi) 3 (1924).
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- 33 Ibid.
- 34 Wu Tiesheng, "The Advantages of Film" (Dianying de haochu), Film Weekly 1 (1921).
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- 36 Ibid.
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- 40 Xu Muyun, p. 297.
- 41 Wang Yue, "The Cradle of Chinese Cinema."
- 42 Ibid.
- 43 Ibid.
- 44 Ibid.
- 45 Yuri Tsivian, Early Cinema in Russia and Its Cultural Reception, London: Routledge, 1994, p. 1.
- 46 Ibid.

- 47 Tom Gunning, "'Primitive' Cinema—A Frame-up? Or the Trick's on Us," in Thomas Elsaesser and Adam Barker, eds. Early Cinema: Space, Frame, Narrative, London: BFI, 1990, pp. 95-103.
- 48 See Wang Yue's "The Cradle of Chinese Cinema."
- 49 For a discussion of the concept in China, see Frank Dikötter's The Discourse of Race in Modern China, p. 128.
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- 52 See Ge Yihong et al., pp. 6-10.
- 53 Zheng Junli, "A Brief History of Modern Chinese Cinema" (Zhongguo xiandai dianying shi lue), in China Film Archive, ed. Chinese Silent Film (Zhongguo wusheng dianying), Beijing: China Film Press, 1996, pp. 1385-432. It was originally published in The History of Development of Modern Chinese Arts (Jindai Zhongguo yishu fazhan shi), Shanghai: Shanghai Liangyou Publishing and Printing Studio, 1936.
- 54 For the establishment of these film companies, see Li Suyuan and Hu Jubin's Chinese Silent Film History, pp. 16-28.
- 55 Zheng Junli, "A Brief History of Modern Chinese Cinema," in Chinese Silent Film, p. 1390.
- 56 Zhang Shichuan, "Since I Have Been a Director" (Zi wo daoyan yilai), Mingxing Semi-monthly (Mingxing banyuekan) 1, 3 (1935).
- 57 Ibid.
- 58 See Cheng Bugao's Memories About the Film Circles (Yingtan yi jiu), Beijing: China Film Press, 1983, pp. 101, 103.
- 59 See He Junxiu's "Zhang Shichuan and Mingxing Company" (Zhang shicuan he Mingxing gongsi), in Selections of Historical Accounts of Past Events (vol. 67), Beijing: Zhonghua Book Company, 1980, p. 385.
- 60 The definition of "god-spirit" dramas will be discussed in chapter 3.
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- 62 In China Film Archive, ed. Chinese Silent Film, p. 1360.
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- 66 Fei Mu, "Random Talk" (Za xie), Lianhua Pictorial (Lianhua huabao) 5, 1 (1935): 16.
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- 2 See Guo Xuyin, ed. New Modern Chinese History, pp. 53-4.
- 3 Zhou Jianyun, "The Prospects for Chinese Cinema."
- 4 Zheng Zhengqiu, "A Center of Film Cooperation" (Hezuo de dabenying), Film Monthly 6 (1928).
- 5 Cheng Shuren, "History of the Chinese Film Industry," in Cheng Shuren, ed. Chinese Film Industry Yearbook.
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- 10 Shadow Play Journal 1, 3 (1922).
- 11 Ibid.
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- 18 See Luo Mingyou's "A Report to People in the Film Trade on the Organization of Lianhua (Second Report)" (Wei Lianhua zuzhi baogao tongren shu), Shadow Play Journal 1, 10 (1930): 45-7.
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- 28 See China Film Archive, ed. The General Catalogue of Chinese Film (Zhongguo dianying zong mulu) (vol. 1), Beijing: n. p., 1960, pp. 25, 28.
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- 34 E. Perry Link, Mandarin Ducks and Butterflies: Popular Fiction in Early Twentieth-century Chinese Cities, Berkeley: University of California Press, 1981, p. 13.
- 35 E. Perry Link, p. 7.
- 36 Chen Yuan, "The Film Lonely Orchid" (Kong gu lan dianying) in Chinese Silent Film, p. 1134. Originally from Xiying Xianhua, Xinyue Book Shop, 1928.
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- 38 See Zhang Shichuan's "Since I Have Been a Director" and Bao Tianxiao's "Film and I" (Wo yu dianying), in Chinese Silent Film, p. 1513. Originally published in Bao Tianxiao's My Autobiography [Chuanyinglou huiyilu], Hong Kong: Dahua Press, 1973.
- 39 Ibsen's influence on Chinese literary modernism and the May Fourth social movement was immense. For example, at the end of his play *The Doll's House*, the housewife Nora ("Nala" to Chinese audiences) leaves her home, and this became a fashionable topic among literary writers. See Ke Ling's "An Outline of the Relationship Between the May Fourth Movement and Film."
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- 41 For the film story of Hypocrite, see The General Catalogue of Chinese Film

- (pp. 81-2) and He Xingleng, "My Impressions of the Great Wall School Films" (Changcheng pai yingpian suo gei wo de yinxiang), The Great Wall Studio Special Issue for Hypocrite, 1926.
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- 2 According to Xia Yan, the Left Wing Film Movement was initiated by the CCP Film Group. See Xia Yan's Old Memories (Lan xun jiu meng lu), Beijing: Sanlian Bookshop, 1985, pp. 224-37. It should be noted that no historical document has ever been produced to prove whether the movement was under the direct leadership of the CCP.
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- 4 See Wang Chenwu's "The Way Chinese Cinema Should Take" (Zhongguo dianying zhi lu) for a discussion about the issue, in Mingxing Monthly 1, 1-2 (1933).
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- 8 See Yang Hansheng's "Some Historical Experiences of the Left Wing Film Movement" (Zuoyi dianying yundong de ruogan lishi jingyan), in Chinese Left Wing Film Movement, p. 1-8.
- 9 See Xia Yan's Old Memories, pp. 224-37. The film group consisted of Xia Yan, Qian Xingcun, Wang Chenwu, Shi Linghe and Situ Huimin.
- 10 See Xia Yan's "A New Journey" (Xin de bashe), in Chen Bo, ed. Chinese Left Wing Film Movement (Zhongguo zuoyi dianying yundong), Beijing, China Film Press, 1993, pp. 9-14.
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- 14 Ibid.
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- 162 See Jin Yin's "A Letter to Directors" (Zhi daoyan) and Wai Wen's "A Letter to Scriptwriters" (Zhi jiaoben jia), Film Pictorial 7, 7 (1943).
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- 167 Song Shui, "Seven Years of Manying Film Production."
- 168 See Film Pictorial, "Zhang Shankun Visits Manying."
- 168 See Hu Chang and Gu Quan, p. 98. Synopses of the 32 films are between page 99 and 126.
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- 171 Film Pictorial, "Zhang Shankun Visits Manying."
- 172 Jiao Ling, "A Mirror" (Yi mian jingzi), Film Pictorial 8, 9 (1944): 28. Jiao Ling is a pen name. Judging from the tone, this article seems to be written by a Japanese.
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- 176 Kawakita Nagamasa, "My Lifelong Experience."
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- 72 For stories of Suspicion and Come Back, the Soul of My Wife, see Film and Drama 10 (1948) and 11 (1948). For story of Butterfly's Dream, see Film and Drama 9 (1948). For story of One Heart and A Dream, see The General Catalogue of Chinese Film, vol. 2, pp. 830, 835.
- 73 For the film story, see Film and Drama, 10 (1948).
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# CONCLUSION

- 1 Leo Ou-fan Lee, "The Urban Milieu of Shanghai Cinema, 1930-1940," in Yingjin Zhang, Cinema and Urban Culture in Shanghai, 1922-1943, pp. 96, 74.
- 2 Ibid., p. 74. Lee's own research in this essay is an attempt to use an "external angle" to research early Chinese cinema. He discusses the cultural context of Chinese cinema in the 1930s, namely the relationship between cinema and Chinese modernity, by examining how Chinese urban audiences were nurtured by native print culture and how the audiences were at the same time exposed to the modern culture of Hollywood cinema. To adopt an "external angle" thus means that to research Chinese cinema one should not only focus on the cinema itself.
- 3 Ibid., pp. 74-5.

# Index

800 Heroes 141	Chen Mo
	Chen Yuni
Anderson, Benedict 5-7	Chen Yuns
Angry Tide of the China Sea 83,	Cheng Bug
110–1	Cheng Shu
Anti-colonial nationalism 26-7, 32,	Cheng, Jih
115, 157	165–€
Appadurai, Arjun 6-7	Children o
Bao Tianxiao 58	China Filn
Befu, Harumi 29	China Filn
Beijing opera film 25, 37-40, 42-4,	82
47, 60, 183	Chinese ch
Big Road 100-2	Chinese m
Bigamy 96-7	Chinese na
Bloodshed in the Isolated City 142	Chinese st
Bloodstain on Oriental Cherry 143	Clark, Pau
Breuilly, John 19	Class natio
Bright Day 173-4	156
Bu Wancang 82, 100-10, 124, 135-6	Colonial n
Burning Honglian Temple 71	Confucius
	Conquer J
Cai Chusheng 82, 101-4, 122-3, 139,	Crossroad
166, 169	Crow and
Cao Yu 174	Cry of Wo
Chang, Eileen (Zhang Ailing) 179	Cultural a
Chatterjee, Partha 32	46–7
Chen Lifu 128	Cultural n

70-2 ing 11, 14 shang 125-6 gao 57, 82-3, 91, 93 uren 16,50 nua 1-4, 106, 120, 160, 6, 172-3, 191 of China 141 m Culture Movement 81 m Culture Society, The 79haracter 12 nanner 22, 138, 145 ational film style 145 tyle 22, 138, 145 ul 1, 15 onalism 26, 75-6, 84, 115, nationalism 26-7, 115 s 126 Iun Mountain 38 1 186 l Sparrows 186-7 omen 95-6 awareness 24-5, 29, 43, nationalism 25, 29, 43, 46

Cultural responsibility 117 Goddess, The 104-5, 107 God-spirit 42, 71-2, 127-8, 153 Danan, Martine 9 Golden Times 110 Good Husband 141 Dawn Over the Metropolis 101-3 Davbreak 95 Grief of a Present-day Woman 176-8 Death of Liniang, The 56 Griffith D. W. 56-7 Defend Our Hometown 142 Gu Jianchen 42, 65, 67 Defend Our Land 141 Guan Ii'an 36, 44 Denouncing Europeanization 65 Gunning, Tom 34 Diaochan 124-5, 128, 130 Hansen, Miriam 34 Hard film 89, 129 Diary on Returning Home 169–70, 172 Hayward, Susan 6, 8-9 Dikotter, Frank 14 Higson, Andrew 7, 10, 17 Dreyer, Edward L. 78 Hobsbawm, E. J. 6, 12 Du Yunzhi 84, 89, 98, 106, 108, 117, Holy Town, The 172-3 160, 166 Hong Shen 64, 82, 95 Hou Yao 57, 60 Eight Thousand Li of Clouds and Moon Huang Jiamo 112-3 166, 168-70 Huang Yicuo 52, 61 Empress for One Night 127 Humanity 100, 136 Endless Love 179-80, 184 Eternal Fame 135-6, 138, 154 Ideal Son-in-Law, An 169-70 Europeanization / Westernization Industrial nationalism 25, 47-8, 74 12-3, 22, 25, 48-9, 51, 55, 57-8, 60-2, 74, 183, 185, 189 Jiang Weiqiao 45 Everybody Is Happy 149-50, 152 Journey to the East 149, 151-2 Fan Yangiao 68, 126 Kawakita, Nagasama 137-8, 155 Far Away love 176-8 Ke Ling 58, 91 Fei Mu 43-4, 82, 106-7, 165, 176-7, 182-3, 188-9 Laborer's Love 56 Female Virtues 96 Lamb Astray, A 104 Four Swordsmen Named Wang 70-1 Lee, Leo Ou-fan 191 Fu Daohui 69 Left Wing Film Movement 18, 26, Fu Poshek 3, 24, 116, 131, 134, 136 75-7, 79, 81-4, 86, 89-90, 95-100, 102, 105, 108-9, 112-4, 120, Ge Nenniang 126, 129 139, 160, 165–6, 170, 191, 193 Ge Yihong 40-1 Legend of the White Snake 67

National Customs 106-7 Leyda, Jay 1-2 Li Shaobai 81, 88 National characteristics of film 23 Li Xiangjun 129 National identity of film 23 Li Xianglang 118, 151 National manner 138, 145 Life of Wu Xun, The 173-4 National style 138, 145 Light of East Asia 142 National style of film 23 Light of Motherhood 83, 99-100 Nationalist Film Movement 18, 26, Lights of Ten Thousand Homes 75-6, 86-8, 90, 98-9, 105-6, 113, 170-1, 187 139, 146, 160, 166, 193 Link, E. Perry 58 Nationalist Literature and Art Little Angel, The 105-6 Movement 86-9, 139, 160, 165 Little Toys 100-1 Nationalization of film 23 Liu Na'ou 89, 128-9 Nation's own cinema 146 Lonely Orchid 58-9 New Life Movement 86, 88-9, 96-7, Long Live the Missus 176-7, 179-80 99, 105-8 Lu Fuhui 11, 13 New life 136 Lu Jingyu 144 Night Lodging 176, 186-7 Lu Si 81, 100 Luo Mingyou 21, 52, 60, 70, 98–9, Orphan Island Cinema 119-24, 128-107 - 831, 134, 136 Luo Xuelian 122-123, 128, 140, 165 Orphan Island Paradise 142 Luo Yijun 31 Pan Jienong 129, 144 Pepper, Suzanne 162, 165 Man and Woman in Disguise, A 173, Power and Grandeur 143 175 Mandarin Ducks and Butterflies 58 Martial Arts Film Movement Raging Torrents 83, 91-3, 95, 101 52, 62, 65, 69-74 Red Heroine 72-3 May 30<sup>th</sup> Movement, The 13, 18, 25, Ren Jingfeng 31, 38–9 49, 53-4, 61-6, 69, 74 Riot of Color, A 135-6 Romance of the Western Chamber, The Modern Japan 149 Mulan Joins the Army 123, 125-6, 67 130-1, 137 Sadness and Happiness at Middle Age National conscience 115, 117-8, 120, 176, 180, 184 124, 130-1, 157 Salt Field Fury 83 National consciousness 117, 126, 130, Sang Hu 175, 179–80 134 Sato, Tadao 134, 137, 152

Saunders, Thomas J. 8 Traditionalist nationalism 26, 75-6, Secret Agent of Japan 142 86, 115, 156-7 Secret History of the Qing Court 173 Tuberose 153 Seton-Watson, Hugh 6, 15 Twenty-four Hours in Shanghai 93-5 Shadow play 16, 25, 30-3, 35, 39, Two Skeletons 105-6 41-2, 46Universal Love 135-6 Shen Fu 170, 172-3 Shen Xiling 71, 81, 93, 101, 139 Shi Dongshan 82, 121, 139-40, 168, Victims of Opium 42 178 Shi Linghe 81, 110 Wan Yuanlong 57-8 Sick Man of Asia, The 70 Wang Chenwu 81 Sinicization 22, 25, 48, 55, 74 Wang Pingling 97 Situ Huimin 81, 100, 104 Wang Ze 151-2 Soft film 89–90, 109, 114, 129 Warm Blood and Loyal Spirit 141 Song of China 107 We Want Our Hometown Back 143 Song of Policemen 143 Whole World Joins in the Jubilation, Song of the Fishermen 103 The 136 Spoken drama 15, 37-8, 40-4, 47, 60 Wild Rose 100 Spring Dream in Heaven 169-70 Wind and Cloud Over Mongolia Spring in a Small Town 176-7, 184, 142 - 3187 - 8Winter Jasmine 149-50, 152 Spring River Flows East, A 166, 169-Women Skeletons 50 70, 187, 189 Wu Yonggang 82, 102, 104-7 Spring Silkworms 91, 101 State-policy film 131, 133, 147-8 Xia Yan 79, 81, 83, 90–3, 96, 98, 100, Sun Shiyi 67 Sun Yu 71, 82, 100-2, 139, 174-5 Xiao Zhiwei 3, 106 Swear by God 50 Xu Muyun 33 Tang Xiaodan 168-9 Yan Chuntang 109, 111 Tea Picking Girl, The 123 Yan Ruisheng 50 Three Modern Women 95, 99-101 Yang Hansheng 81, 109-10, 139, 141, Tian Han 81, 99, 109-11, 139 143, 170 Tomboy 112-113 Yao Ke 173 Traditional Costume Film Movement Ye Yiqun 181-2 48-9, 52, 62, 65-9, 73-4 Ying Yunwei 141, 143

Yu Jun 184 Yue Feng 82, 110, 133

Zhang Junxiang 170, 172-3 Zhang Shankun 122, 125-7, 129, 130-7, 154-5, 185 Zhang Shichuan 41, 51, 56, 120-1, 124, 126, 133, 185-6 Zheng Junli 41-2, 44-56, 61, 66, 70, 72, 166, 169, 186

Zheng Yongzhi 145-6

Zheng Zhengqiu 49-50, 56, 58, 68, 82-83, 93, 178, 185

Zhou Jianyun 15-16, 21, 44, 49, 63, 130

Zhu Shilin 107-8, 133, 135, 173