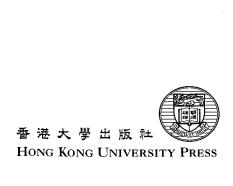
Doctoral Dissertations on Hong Kong 1900–1997

An Annotated Bibliography

With an Appendix of Dissertations Completed in 1998 and 1999

Compiled and Edited by

FRANK JOSEPH SHULMAN and ANNA LEON SHULMAN



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The emergence of Hong Kong as a major force in the world economy, its unique position as a center of cultural and economic interchange between China and the West, the worldwide interest in the territory's reversion to Chinese sovereignty following a century and a half of British colonial rule, the decision by tens of thousands of Hong Kong Chinese to pursue their graduate studies overseas, the rapid expansion of institutions of higher education within Hong Kong itself, and the recent emergence of large Hong Kong Chinese emigrant communities in London, Sydney, Toronto, Vancouver and other major world cities are among the developments behind the dramatic increase in the number of doctorates awarded for research involving Hong Kong over the past thirty years. Between 1900 and 1960, fewer than one hundred dissertations in all were written about Hong Kong. This number grew very rapidly during the ensuing decades, however, and by the late 1990s, over two hundred doctoral dissertations that were concerned either entirely or just in part with Hong Kong were accepted each year by institutions in Australia, Canada, Hong Kong, the United Kingdom, the United States, and two dozen other countries throughout Europe and Asia. With its nearly exhaustive coverage, Doctoral Dissertations on Hong Kong, 1900-1997 is the first reference work to bring this significant but geographically scattered body of scholar-ship together in a systematic and authoritative manner. Through the classified arrangement of its entries, its numerous cross-references, and its very detailed indexes, the present bibliography not only constitutes a comprehensive reference guide to these dissertations but also serves to facilitate their long-term scholarly use.

CONTENTS AND SCOPE

Doctoral Dissertations on Hong Kong, 1900-1997 is a comprehensive, multidisciplinary, descriptively annotated, classified, cross-referenced and exhaustively indexed guide to 1,991 dissertations written between 1900 and 1997. Furthermore, within Appendix 1, the volume brings together an additional 404 theses completed during 1998, 1999 and the early months of 2000. These bibliographical entries represent research undertaken in every major academic discipline: from anthropology, business administration, demography, economics, education, engineering, geography, geology, history and law, to language and linguistics, literature, medicine, music, physics, political science, sociology, theology and zoology. While slightly over forty-two percent of the dissertations have been submitted to institutions of higher learning in the United States, 1,386 entries represent work completed in twenty-eight other countries. With the exception of China, India, Japan, Russia and Taiwan, comprehensive coverage is offered for each and every one of these countries through 1997. Only forty-seven percent of the entries (1,125 out of 2,395 dissertations) have been cited in Dissertation Abstracts International, a monthly publication of UMI (formerly University Microfilms International) that all too often is erroneously believed to offer all-inclusive bibliographical coverage of worldwide dissertation research. Some 1,394 dissertations (slightly more than fifty-eight percent of the 2,395 entries) deal entirely with or primarily on subjects relating to Hong Kong. They constitute the most important bibliographical focus of this volume. At the same time, considerable efforts have also been made to identify and include the many studies that treat Hong Kong within an Asian or global context, that use data for Hong Kong along with data from two or more other countries to test a theoretical model, that deal only in part with overseas Hong Kong Chinese communities or with the vast numbers of Hong Kong Chinese students who have pursued their education abroad, or that study Hong Kong within some other social science or humanistic framework. Among these are a number of marginally-related theses that have been included to ensure that the bibliographical record of scholarly interest in Hong Kong is as complete as possible. For the sake of perspective, readers should note that these "secondary" dissertations altogether comprise 1,001, or nearly forty-two percent, of the entries within this volume.

The term "doctoral dissertation", as used throughout the bibliography, encompasses not only the theses that have been written for the Ph.D., Ed.D., and M.D. degrees but also those that have been accepted for a wide range of other higher degrees including the D.A., D.B.A., D.Eng., D.Min., D.Miss., D.Phil., D.S.W., Doctorat de 3e cycle, Doctorat d'État, Dr.P.H., D.S.W., J.S.D., S.J.D., S.T.D. and Th.D. degrees and, in the case of Russia and the former Soviet Union, the "Kandidat nauk" (Candidate of Sciences) degree.

The selection of entries for inclusion within this bibliography has been based on two principal criteria: (a) identification of all of the dissertations that could be useful to a broad array of individuals around the world who vary widely in the

nature of their respective interest in Hong Kong, and (b) creation of a definitive record of the doctoral-level scholarship that deals in one way or another with Hong Kong. No attempt has been made to assess the quality of the dissertations themselves or to select only those titles that have met our professional standards. In view of the fact that each thesis has been defended and accepted at an accredited academic institution, we believe that every dissertation in this volume has sufficient scholarly merit to warrant a full bibliographical listing.

FORMAT OF THE ENTRIES

The information furnished for each dissertation entry in both the main body of the bibliography (entries 1-1988) and in Appendix 2 (entries 2393-2395) generally appears in the following sequence:

- (1) Consecutive entry number.
- (2) Author's name in full (middle names included), followed on occasion by his or her name either in a different name order (particularly in the case of several Malay and Thai authors) or in a variant transliteration.
- (3) Author's year of birth whenever it is known.
- (4) Dissertation title and subtitle exactly as they appear on the thesis title page.
- (5) Language of the dissertation typescript if it is not in English.
- (6) Indication of the presence of a thesis summary or abstract written in a language other than that of the dissertation typescript.
- (7) English-language translation of the dissertation title and subtitle whenever they appear in another language.
- (8) Full name of the degree-awarding institution of higher education.
- (9) Country of the degree-awarding institution (except for institutions in Hong Kong).
- (10) Calendar year in which the dissertation was successfully defended or in which the author's doctorate was formally conferred.
- (11) Type of doctoral degree conferred followed by the author's academic major, academic department/school, or field of specialization. This is normally expressed in the form of "Ph.D. in Sociology", "Ed.D. in Curriculum and Instruction", "Doctorat de 3e cycle en linguistique", "Dr., Philosophische Fakultät", "Kandidat nauk in Historical Sciences", etc.
- (12) Full pagination of the dissertation typescript or of a copy in microform (expressed in "pages" rather than "leaves").
- (13) Bibliographical citations to one or more of the author's published thesis abstracts. In most cases, they appear in the monthly issues of Dissertation Abstracts International (DAI), the volumes of the Aslib Index to Theses With Abstracts Accepted for Higher Degrees by the Universities of Great Britain and Ireland and the Council for National Academic Awards, or the annual volumes of the Abstracts of Theses of the University of Hong Kong produced since 1972 by the Research Services Section of the University Registry.
- (14) Statement briefly indicating the availability of copies of the dissertation. In the majority of cases, this appears in the form of the UMI (formerly University Microfilms International) order number, the British Library Document Supply Centre (BLDSC) accession number, the ISBN (International Standard Book Number) for Canadian dissertations, or the Theological Research Exchange Network (TREN) order number for selected D.Min. theses. In the case of the slightly more than six hundred dissertations accepted by universities in Hong Kong and of selected Australian university theses, the library call number for the degree-awarding institution is supplied whenever it is known. The current mailing address of the dissertation author's university library is provided in certain other cases, particularly for institutions in Australia, France, Japan, Russia and Taiwan. In addition, the holdings (as of Winter 2001) of the Center for Research Libraries in Chicago are indicated for some three hundred fifty Australian and European dissertations as are the holdings of the University of Hong Kong Libraries for well over one hundred Australian, British and Canadian dissertations.
- (15) Descriptive, 100-175 word long, annotation of the scope, contents, objectives and/or relevance of the dissertation,

followed by the title of each chapter in its table of contents. Whenever applicable, the table of contents appears both in the language of the thesis typescript and in an accompanying English-language translation.

(16) Full bibliographical citations to one or more books and/or occasional papers known to have been published by the author that present the text of his or her dissertation (especially in the case of printed German theses), are based on his or her thesis while incorporating additional research on the same or on a closely related subject, or in some other way are directly related to the author's dissertation topic. It must be kept in mind that the only books that could be identified and cited are those which were printed or published before the completion of this bibliography. A few relevant books are likely to have been overlooked, and many more may well appear in print following the publication of this volume.

The 404 entries in Appendix 1 (pp.523-577)—"Doctoral Dissertations Completed in 1998 and 1999"—contain much shorter annotations and omit the dissertations' tables of contents. Otherwise, their format is comparable to the entries within the main body of this bibliography. All of the entries are fully indexed and cross-referenced.

Regretfully, in a number of cases, certain bibliographical details could not be secured for inclusion in this reference work due to our inability to consult the dissertation typescripts or a failure on the part of the degree-awarding universities to supply the requested information.

CLASSIFICATION OF THE ENTRIES

The dissertation entries within the main body of this volume (pages 1-521) have been classified along subject lines primarily on the basis of their content rather than their methodology or their respective author's academic discipline. This is especially true in the case of those dissertations that deal only secondarily or marginally with Hong Kong since their subject classification has normally been based on the nature of their coverage of Hong Kong rather than on the content of their thesis typescripts as a whole. Most of the dissertations concerned with events in Hong Kong before September 1945 are classified together under "History" (pp.264-300). All of the dissertations that are concerned with the Hong Kong Chinese outside of Hong Kong are classified under "Overseas Hong Kong Chinese" (pp.399-437) or under "Education: Hong Kong Students Overseas" (pp.147-177). Many hundreds of cross-references have been provided throughout the bibliography to lead readers from the entries in one section (or subsection) to related entries elsewhere. Within each section and subsection, the dissertations are alphabetically arranged by each author's family name.

METHOD OF COMPILING AND EDITING THE ENTRIES

The entries appearing in *Doctoral Dissertations on Hong Kong, 1900-1997* have been compiled over a thirty-year period on the basis of data extracted from published bibliographies and printed lists of theses, information obtained through extensive worldwide correspondence, records appearing in the online public access catalogues (OPACs) of university libraries around the world, ongoing research at the Library of Congress (Washington, D.C.), the examination of more than five hundred dissertations borrowed on interlibrary loan, and visits to numerous American, Canadian, European and Asian university libraries and archives.

As a first step, the annual volumes and monthly issues of many national bibliographies, the commencement listings and annual dissertation lists of selected universities, and specialized journals and newsletters that cite completed dissertations on Asia as well as topically-oriented bibliographies of dissertations (more than one million pages in all) were carefully scanned for relevant information. This work was conducted as a part of Frank Joseph Shulman's ongoing efforts to provide comprehensive bibliographical coverage for worldwide doctoral dissertations on Asia in several country-oriented, retrospective bibliographies that he started publishing in 1970 as well as in his bibliographical journal, *Doctoral Dissertations on Asia* (Ann Arbor: Michigan: Association for Asian Studies, volumes 1-16, 1975-1996). The more important serial publications among these have been:

American Doctoral Dissertations (Ann Arbor, Michigan)

Asian Studies Association of Australia Review (Canberra)

Aslib. Index to Theses With Abstracts Accepted for Higher Degrees by the Universities of Great Britain and Ireland and the Council for National Academic Awards (London)

Bibliography of Doctoral Dissertations: Social Sciences and Humanities (New Delhi, India)

British Reports, Translations & Theses (since January 1998: British National Bibliography for Report Literature) (Boston Spa, Wetherby, West Yorkshire)

Canadiana (Ottawa)

Catalogue des thèses de doctorat soutenues devant les universités françaises (Paris)

Catalogue van academische geschriften in Nederland verschenen (Utrecht)

Ceske disertace (Praha, Czech Republic)

Deutsche Bibliographie. Hochschulschriften-Verzeichnis (Frankfurt am Main)

Deutsche Nationalbibliographie und Bibliographie der im Ausland erschienenen deutschsprachigen Veröffentlichungen.
Reihe H: Hochschulschriften. Monatliches Verzeichnis (Frankfurt am Main)

Dissertation Abstracts International (Sections A, B and C) [published between 1939 and 1951 under the title Microfilm Abstracts and from then through June 1969 as Dissertation Abstracts] (Ann Arbor, Michigan)

Gesamtverzeichnis österreichischer Dissertationen (Wien, Austria)

Harvard University. Commencement program listings of degree recipients with the titles of their dissertations [annual] (Cambridge, Massachusetts)

Inventaire des thèses de doctorat soutenues devant les universités françaises (all parts) (Paris)

Jahresverzeichnis der Hochschulschriften der DDR, der BRD und Westberlins (Leipzig)

Jahresverzeichnis der schweizerischen Hochschulschriften = Catalogue des écrits académiques suisses (Basel, Switzerland)

Katalog rozpraw doktorskich i habilitacyjnych (Warszawa, Poland)

Kiryat Sefer (Jerusalem, Israel)

Knizhnaia letopis', doponitel'nyi vypusk (Moskva)

Kokuritsu Kokkai Toshokan shozô hakushi ronbun mokuroku (Tokyo)

List of Academical Publications Issued at the University of Helsinki (Helsinki, Finland)

Liste annuelle des thèses de lettres déposées à la Bibliothèque de la Sorbonne (Paris)

National University of Singapore. Publications and Theses (Abstracts) (Singapore)

Philippine National Bibliography (Manila)

Pontificia Universitas Gregoriana. Liber Annualis (Roma)

Przeglad Orientalistyczny (Warszawa, Poland)

Répertoire des thèses de doctorat = Repertorium van de doctorale proefschriften (Bruxelles / Brussels, Belgium)

Research in Ministry: An Index to Doctor of Ministry Project Reports and Theses Submitted by Reporting ATS Schools [title varies slightly] (Chicago: American Theological Library Association)

Revista de la Universidad de Madrid. Noticia de las tesis doctorales en cursos (Madrid)

Scripta Academica: Doctoral Theses Published at the University of Lund (Lund, Sweden)

Theses and Dissertations in the University of Malaya Library (Kuala Lumpur)

Theses and Other Publications of the University of Copenhagen (Copenhagen, Denmark)

Union Catalogue of Theses and Dissertations of South African Universities (Potchefstroom)

Union List of Higher Degree Theses in Australian National University Libraries (Canberra)

Union List of Higher Degree Theses in New Zealand Libraries (Wellington)

University of Cambridge. Titles of Dissertations Approved for the Ph.D., M.Sc., and M.Litt. Degrees in the University of Cambridge during the Academic Year (Cambridge, England)

University of Hong Kong. Abstracts of Theses (Hong Kong)

University of London Library. Theses and Dissertations Accepted for the Degrees of M. Phil. and Ph.D. (London)

University of Oxford. Successful Candidates for the Degrees of D. Phil., M.Sc., B. Litt. with Titles of Their Degrees [title varies slightly] (Oxford, England)

The information extracted from these and many other publications was supplemented in virtually every case, most often by additional bibliographical details obtained through a personal examination of the dissertation typescripts and/or through correspondence. Information about the scope and objectives of the theses and their relevance for inclusion within this bibliography, copies of their tables of contents, the precise pagination of the thesis typescripts, and the dissertation authors' respective fields of specialization and years of birth were among the more frequent types of data secured in this manner. All foreign-language dissertation titles have been translated into English; Russian, Chinese, Hebrew, and Japanese titles have also been transliterated. Close to ninety percent of the dissertations were consulted in the course of preparing the descriptive annotations. The online catalogues maintained by OCLC (Online Computer Library Center in Dublin, Ohio), RLIN (Research Libraries Information Network in Palo Alto, California) and "Dragon"—the online public access catalogue of the University of Hong Kong Libraries—were searched in order to identify monographs and printed working papers by the dissertation authors that either constitute their published dissertations or appear to be works derived from their thesis research.

With a few exceptions, the annotations that were prepared for this volume are descriptive rather than critical or evaluative in nature. They are generally based not only on available printed abstracts but also on our personal examination of the dissertation typescripts or on their published versions. The annotations generally range between 100 and 175 words in length, in addition to their tables of contents. Frequently these annotations indicate the general scope of a particular thesis or highlight one or two of its main features. In other cases, they seek to clarify the inclusion within this volume of a dissertation that focuses on a subject other than Hong Kong or to account for the classification of an entry within one particular section of the bibliography as opposed to another.

Our editing was particularly concerned with enhancing the consistency, accuracy and informative nature of the entries and with preparing a very extensive index of relevant names and terms. The specific locale of each dissertation author's research was identified whenever possible and then incorporated not only into the descriptive annotation but also into the volume's subject index. Factual information derived from the dissertations frequently had to be verified and, on a few occasions, corrected. Within the descriptive annotations, efforts were made to strike an appropriate balance between standard English grammar and the phraseology employed by non-native speakers of English in some of the dissertations. American spelling has constituted the norm except in the case of selected dissertation titles and quoted statements.

TRANSLITERATION

With certain exceptions, the Hanyu Pinyin system of romanization has been used for Chinese words throughout this bibliography. All of the dissertation titles are presented exactly as they appear on their respective title pages, however, and some of these titles and their respective tables of contents incorporate Chinese terms in the Wade-Giles transliteration scheme that was extensively used until the 1990s (e.g., "Ch'ing" dynasty instead of "Qing", "Kuomintang" instead of "Guomindang"). Furthermore, a few well-known place names that could be difficult for Westerners to recognize in Pinyin are generally retained in their widely known format—Hong Kong and Taipei, for example, are normally used in preference to Xianggang and Taibei—and a few terms such as Hakka are given in their most common English spellings.

The names of individual dissertation authors of Chinese origin normally adhere to the spelling that has been designated by those particular individuals. Authors from Hong Kong generally prefer a transliterated Cantonese form of spelling for their names (Cheung instead Zhang; Leung instead of Liang; Ng instead of Wu). Most authors from the People's Republic of China employ Pinyin. Many authors from Taiwan use Wade-Giles. And some names do not follow any conventional style of romanization. Chinese names are always given in the traditional Chinese order: surname first, followed by given name. While Chinese place names outside of Hong Kong are in Pinyin, most place names within Hong Kong are romanized according to the system used in the third edition of the *Hong Kong Directory* (Hong Kong: Universal Publications, Ltd., 1996) and the third edition of the *Hong Kong Guide: Streets & Places* (Hong Kong: Survey and Mapping Office, Buildings and Lands Department, Government of Hong Kong, 1993).

For technical reasons, the inclusion of diacritics has been limited to the ones that are found in French, German and other major European languages and were therefore readily available in the two software packages for Macintosh computers—Pro-Cite 2.1 and Microsoft Word 5.1—that have been used for the compilation and editing of this bibliography.

APPENDIXES AND INDEXES

An extensive but incomplete listing of some four hundred doctoral dissertations completed in 1998 and 1999 together with a very few dating from 2000 comprises Appendix 1 (pp.523-577). Their annotations are relatively brief, their tables of contents have been omitted, and they are classified only under broad subject categories and then listed alphabetically by author. Nevertheless, they are fully cross-referenced to the main body of this bibliography and are fully indexed as well. The second appendix (page 578) lists three dissertations from the early 1990s that came to our attention only after the classified bibliography had been finalized. Appendix 3 (pp.579-582) provides the Chinese characters for the titles of the dissertations that were written in Chinese or in Japanese and for their respective tables of contents. The ten statistical tables and three graphs (pp.583-610) show the distribution of dissertations on Hong Kong, for example, (a) by country of degree-awarding institution and calendar year of degree, (b) by degree-awarding institution and calendar year of degree, and (c) by academic field and the author's ethnic background. A detailed guide to the availability of the doctoral dissertations themselves appears on pages 611-626. Three indexes—an alphabetical listing by author (pp.627-648), an index by degree-awarding institution (415 universities, colleges, technical institutes and theological seminaries that are alphabetically arranged on a country-by-country basis) (pp.649-657), and an extremely detailed subject index (pp.659-822)—conclude the volume.

AVAILABILITY OF THE DISSERTATIONS

One of the major contributions of this bibliography has been its ongoing effort to enhance scholarly access to the doctoral dissertations that deal either in whole or in part with Hong Kong. Over the years, the staff of the University of Hong Kong Libraries has sought to acquire copies of many of the dissertations focusing on Hong Kong that have been accepted by institutions of higher learning in Australia, Canada, the United Kingdom and the United States. The preliminary draft of this bibliography that accompanied our conference paper "A Key to the Universe of Scholarly Research About Hong Kong: Doctoral Dissertations Accepted by Institutions of Higher Learning Throughout the World, 1900-1992" (International Association of Orientalist Librarians, Ninth General Meeting at the 34th International Congress of

Asian and North African Studies, Hong Kong, August 24-25, 1993) listed a significant number of dissertations that the University of Hong Kong Libraries subsequently purchased or otherwise acquired to augment its constantly growing holdings. Shelved for the most part in the open stacks of the Hong Kong Collection, they complement the three thousand archival copies of University of Hong Kong doctoral dissertations and master's theses that are housed within the same unit. Altogether they constitute an invaluable resource for the Hong Kong Chinese research community as well as for visiting foreign scholars in Hong Kong, and references to the availability of copies there of many of the dissertations accepted by institutions in Australia, Canada, continental Europe and especially the United Kingdom are frequently provided in the individual bibliographical entries within this volume. It is expected that the University of Hong Kong Libraries will eventually acquire copies of many of the other dissertations that are listed in the present bibliography and that these holdings altogether will ultimately constitute the most comprehensive of their kind in East Asia and, possibly, anywhere in the world.

Scholars and students affiliated with the approximately one hundred and fifty universities in the United States and Canada that are members of the Center for Research Libraries (CRL) in Chicago, Illinois, now also have far greater access to a significant segment of the doctoral dissertations listed in this bibliography. One of the Center's longstanding missions has been to develop and maintain a very extensive collection of doctoral-level dissertations accepted by accredited institutions of higher learning outside of North America. Following their acquisition and processing, these dissertations are readily made available through interlibrary loan to interested readers at member institutional libraries. In support of the present bibliographical project, and at our specific request, CRL augmented its existing holdings related to Hong Kong by generously purchasing or otherwise obtaining copies of over three hundred additional British, Australian, and continental European dissertations in microfilm, microfiche, photocopied format, or printed format for its permanent collection. Some of this acquisitions work called for considerable perseverance and effort on the part of CRL personnel. While the Center was able to obtain copies of the majority of the dissertations within six to twelve months of the arrival of our formal requests, certain Australian and British universities routinely took up to two years to fulfill purchase orders; and in one case, a 1993 request for a printed German thesis was filled only in late 1998. These dissertation copies subsequently constituted the primary source for the preparation of their respective bibliographical entries within this volume, and each such entry indicates the format of the copy that has been accessioned by CRL. Interested users of this bibliograpy can reasonably expect that each of the three hundred fifty dissertations on Hong Kong presently held by CRL will normally be made available to them within a few short weeks of CRL's receipt of their interlibrary loan requests.

One other noteworthy library collection of doctoral dissertations on Hong Kong is that of the Library of Congress. Through both copyright deposit and purchase, the Library has acquired copies either in microfilm or in microfiche of nearly every Hong Kong-related dissertation that has been microfilmed by UMI in Ann Arbor, Michigan. Numbering slightly over one thousand titles, these Library of Congress's holdings include not only dissertations accepted by many American universities but also selected dissertations completed at institutions of higher learning in Hong Kong (particularly the Chinese University of Hong Kong). In addition, among the voluminous holdings of the Library are copies of the large majority of books that individual dissertation authors have published on the basis of their thesis research and that are cited in individual entries within this bibliography.

For details regarding the availability of the doctoral dissertations on Hong Kong that have been accepted by universities around the world, consult the "Guide to the Availability of Doctoral Dissertations" that appears on pages 611-626 of this volume.

ACKNOWLEDGMENTS

In compiling and editing any extensive bibliography of this nature, we have constantly had to impose upon the cooperation, goodwill and patience of our colleagues and associates. Many individuals throughout the world—among them librarians, archivists, university registrars, recent doctoral degree recipients, graduate students and faculty members interested in East Asia—have contributed to this volume over the past three decades by responding to numerous inquiries, by supplying materials through interlibrary loan, by bringing new titles to our attention, by reviewing certain sections of the bibliography, or by helping in other small but significant ways. While it is regretfully not possible to list every single contributor, it is our pleasure to thank all of them and to acknowledge the people and institutions below by name.

Noteworthy assistance has been received from the following individuals who supplied important details about selected dissertations accepted by their respective universities and institutes: Linda Batista (Ginn Library, Fletcher School of Law and Diplomacy, Tufts University), Lois Beattie (Institute Archives, Massachusetts Institute of Technology), David O. Berger (Concordia Seminary Library, Saint Louis, Missouri), Nadine Berger (Universitätsbibliothek der Wirtschaftsuniversität Wien), Patsy Betts (University of Surrey Library), Barry C. Bloomfield (formerly with the School of Oriental and African Studies Library, the India Office Library and Records, and the British Library), Joseph Bosco (Chinese University

of Hong Kong), K. C. Chan (Hong Kong Polytechnic University), Rachel Charnock (University of Aberdeen), Chau Chung-wa Christina (Hong Kong Polytechnic University Library), Janette Colclough (University of York Library), Timothy Connor (formerly at the Harvard-Yenching Library, Harvard University), Anne Conway (University College Dublin Library, Ireland), Eva Eberwein (Universitätsbibliothek Innsbruck, Austria), Jenny Finder (University of Bradford Library), Sarah E. Frazer (University of Houston Libraries), Gill Goddard (University of Sheffield Library), Paula Gorman (Liverpool John Moores University Library), Tomoko Goto (University of British Columbia Library), Douglas L. Gragg (Pitts Theological Library, Emory University), Alan Grant (University of Dundee Library), Kathryn Grant (University of Strathclyde Library), Jean C. Han (University of California at Berkeley Libraries), Markus Hennies (Universitätsbibliothek der Universität Freiburg i. Br.), Christian Henriot (Institut d'Asie Orientale, Lyon, France), Sara Hilder (University of Sydney Library), Horst Huber (Harvard-Yenching Library, Harvard University), Talbott W. Huey (Michigan State University Library), Linda Huig (University of Leicester Library), Kitty Inglis (University of East Anglia Library), Cathie Jackson (Cardiff University Library), Ian Jackson (Sydney Jones Library, University of Liverpool), Susan Johnston (University of Toronto Library), Gail Levin (Jewish National and University Library, Jerusalem), the librarians of the University of Santo Tomas (Manila, The Philippines), Knox Lovell and Sandra Escobar (University of New South Wales), Megan Lyneham (Fryer Library, University of Queensland), Carolyn Malsher (University of London Senate Library), Julie Marshall (Borchardt Library, La Trobe University, Australia), Connie Ng (Graduate School of the Chinese University) ty of Hong Kong), Eizaburo Okuizumi (University of Chicago Library), Gavin Park (University of Manchester Institute of Science and Technology Library), Debra Pearson (University of Durham Library), William Pine-Coffin (University of Warwick Library), Niki Pollock (University of Glasgow Library), Paul Poon (City University of Hong Kong Library), Pauline Rae (Royal College of Art Library, London), Paul S. Ropp (Clark University), Carol Seagrove (Loughborough University Library), Murray Simpson (Edinburgh University Library), Helen Soemardio (Matheson Library, Monash University), Jim Stemper, Cynthia Teague and Penelope Krosch (University of Minnesota Libraries), Ronald Suleski (Harvard University), Sun Zehua and Donald Wassink (Library of the Hong Kong University of Science and Technology), Lynette Wageman (University of Hawaii Library), Susan Walker (University of Aston Library), Anna Wang (Harvard-Yenching Library, Harvard University), Heather Welch (University of South Australia Library), Agnes C. Wen (University of Pittsburgh Library), Rob Wilkes (Bodleian Library, Oxford), Soun Wong (Murdoch University Library, Australia), Carol S. Woodward (University of Strathclyde Library), Yeen-Mei Wu (East Asia Library, University of Washington), and the literally hundreds of other librarians, library assistants, archivists and university faculty members on five continents who on countless occasions responded to the authors' requests for information, supplied photocopies of tables of contents, thesis abstracts and even entire chapters of dissertations, and lent hundreds of items through interlibrary loan.

The following individuals and institutions assisted significantly in ways that often went beyond providing information about selected dissertations accepted by their own institutions: The Association for Asian Studies, publisher from 1975 to 1996 of Doctoral Dissertations on Asia: An Annotated Bibliographical Journal of Current International Research, in which some of the bibliographical entries on Hong Kong first appeared; Yu-Law Chou (University of California at Berkeley Libraries), who provided valuable information regarding dissertations accepted by universities in Taiwan; Kyungmi Chun (University of Hawaii Library), who searched the CD-ROM database "Han'guk paksa mit soksa hagwi nonmun chongmongnok" to determine whether any doctoral dissertations relating to Hong Kong had ever been accepted by institutions of higher learning in the Republic of Korea; Raoul David Findeisen (Ruhr-Universität Bochum, formerly at Universität Zürich), who frequently called our attention to pertinent German and Swiss dissertations on China and Hong Kong; Andrew Gosling (National Library of Australia, Canberra), who assisted in the identification of a number of Australian university dissertations dealing with Hong Kong; Harley Holden and Brian A. Sullivan (Harvard University Archives), who facilitated our work within the Archives, answered numerous inquiries about Harvard University theses at a time when they were unavailable through UMI (formerly University Microfilms International), and supplied copies of Harvard University's annual Commencement program listing all of the dissertations accepted by that institution; Richard C. Howard (formerly with the Asian Division of the Library of Congress), who for many years extended his support to the authors' various bibliographical projects; Robert Jones (Theological Research Exchange Network, Portland, Oregon), who helped identify a number of relatively unknown American and Canadian D.Min. theses relating to both Hong Kong and the overseas Hong Kong Chinese communities and who kindly supplied microfiche copies of several of them; Kameda Kuniko and Terauchi Teruo (Kokuritsu Kokkai Toshokan/National Diet Library, Tokyo), Yoshiko Koda and Miki Inagaki (Japan Information Center, University of Pittsburgh), and Koide Izumi (International House of Japan Library), who greatly facilitated our efforts to identify, examine, and annotate the dissertations on Hong Kong that could be identified among the extensive holdings of Japanese university dissertations at the National Diet Library; Delphine S. Lewis (UMI, formerly University Microfilms International, Ann Arbor, Michigan), who responded to various requests for information and assistance over the years; H. C. Li (Li Hoo Cheong) of the Hong Kong Polytechnic University Library, who facilitated our initial efforts to gather information regarding his institution's dissertations and who subsequently called our attention to a number of worldwide dissertations dealing with the subject of cinema; John McCoy (Arlington, Virginia), who authoritatively reviewed all of the entries that deal with the subject of Cantonese; the staff members of the National Library of Canada, who made copies of many Canadian university dissertations in microfiche available through interlibrary loan; Patricia Polansky (University of Hawaii Library), who for more than ten years, with the help of several research assistants, identified a very substantial number of Russian dissertations on Asia and drafted biblio-

graphical entries for each one of them; the staff of the Rockville Regional Library Telephone Reference Service (Rockville, Maryland), who responded to a large number of telephone inquiries for factual data; Friederike Schimmelpfennig (Sinologisches Seminar, Universität Heidelberg), who responded to requests for information regarding certain German dissertations that were not readily available in the United States; Felicity Shaw (Hong Kong), who examined, photocopied and supplied pages from a substantial number of City University of Hong Kong doctoral dissertations dating from 1996-1999; Alan Smart and Josephine Smart (University of Calgary), who reviewed a draft of the "Anthropology and Sociology" section, responded to inquiries regarding selected Canadian doctoral dissertations, and arranged for Shirley Lee (a student research assistant) to secure information about certain recently completed dissertations accepted by the Chinese University of Hong Kong; the staff of the Academic Information Technology Services Department at the University of Maryland, College Park, who provided computer-related technical advice, support and facilities; Frank Joseph Shulman's many past and current colleagues in the East Asia Collection, the Public Services Division and the Technical Services Division of the University of Maryland, College Park Libraries, who shared their expertise and offered a wide range of bibliographic and reference assistance; Hans H. Wellisch (professor emeritus, University of Maryland, College Park), Ulrike Kraske (University of Maryland, College Park), Michel Darcis (University of Maryland, College Park), Zdenek V. David (Woodrow Wilson International Center for Scholars, Smithsonian Institution, Washington, D.C.), and Steven I. Levine (University of Montana), who respectively assisted us in translating and editing the titles of selected German, French, Czech/Slovak, and Russian-language dissertations; Wong Man-Kong [Timothy Wong] (Hong Kong Baptist University), who assisted us in identifying Chinese University of Hong Kong dissertations during an early stage of this project and subsequently responded to a number of inquiries regarding worldwide dissertations on the history of Hong Kong, his field of specialization; and the staff of the Woodstock Theological Library at Georgetown University, who provided reference service as well as access to the Library's important runs of serial bibliographies and journals published by Catholic institutions around the world.

The inclusion of authoritative bibliographical information for certain groups of European dissertations depended very heavily on the painstaking cooperation of the following individuals and their institutions: Federico Greselin, Mario Sabattini and Ornella Serafin (Università degli studi di Venezia), who contributed the entries for the dissertations accepted by the University of Venice; Andrei Karneev (Acting Deputy Director, Moscow State University Institute of Asian and African Studies), who contributed to the identification and annotating of selected Russian dissertations; Jacqueline Nivard (Revue bibliographique de sinologie, École des Hautes Études en Sciences Sociales, Paris), Christiane Lagarrigue (Paris), and both Jean-Michel Guittard and Jean-Pierre Calvignac (Service des Thèses, Bibliothèque de la Sorbonne, Paris), who facilitated our long-term efforts to cover French doctoral dissertations in greater bibliographical detail and in a more comprehensive manner than we could have otherwise done. Elizabeth Dambrauskas, Kay Lund, Andrea Seed, Andrew Smith and their colleagues at the British Thesis Service, British Library Document Supply Centre (Boston Spa) cheerfully responded to our steady stream of bibliographical inquiries and supplied the Center for Research Libraries in Chicago with microfilm copies of many British doctoral dissertations on Hong Kong and on China for use in this project.

This volume could not have been completed in its present form without the cooperation that was generously extended by a number of institutions in the United States that deserve special mention. The staff of the Center for Research Libraries (CRL) in Chicago made a labor-intensive effort on our behalf to obtain copies of over three hundred British, continental European and Australian doctoral dissertations on Hong Kong. Year after year, on both weekdays and Saturdays. Robert L. Costenbader, Pablo A. Calvan, Dennis Hawkes, Jay Sweeney, John Baer, Gary Gray and their colleagues at the Microform Reading Room of the Library of Congress retrieved from the Library's closed stacks literally thousands upon thousands of microfilmed American dissertations dealing in some way with Asia for us to examine. Over one thousand of these dealt in whole or in part with Hong Kong. The staff of UMI (formerly University Microfilms International, Ann Arbor, Michigan), among them Patricia M. Colling, William E. Savage, Amy Wilson and Gloria M. Worrell, provided complimentary subscriptions to sections A and C of Dissertation Abstracts International as well as occasional computergenerated key word listings of selected theses. UMI is also gratefully acknowledged for permitting the inclusion of brief quotations from some of the abstracts published in Dissertation Abstracts International within some of the annotations that appear in this volume. The very helpful staff and students working in the Interlibrary Loan Department at the University of Maryland, College Park Libraries patiently filed a nearly endless stream of requests for materials available outside of the Washington, D.C. metropolitan area in order that these items could be annotated as well as bibliographically verified. In addition, David Pak Yue Leon and Jennifer Yan Yan Leon assumed much of the responsibility for preparing the statistical tables and assisted with the Chinese-language versions of the table of contents, glossary and introduction. The Chiang Ching-kuo Foundation for International Scholarly Exchange (Taipei) covered some of the expenses entailed in the compilation of this bibliography in the course of supporting the preparation of our earlier volume, Doctoral Dissertations on China and on Inner Asia, 1976-1990: An Annotated Bibliography (Greenwood Press, 1998).

At the University of Hong Kong, the library administration under the leadership of Dr. Kan Lai-bing authorized virtually unlimited access to the entire collection of the university's theses in 1993, 1996 and 1998 respectively in order that we could identify and place under full bibliographical control all relevant University of Hong Kong doctoral dissertations. Y. C. Wan (Wan Yiu-chuen) and Iris Chan (Special Collections, University Libraries) frequently offered very helpful

advice and extended their cooperation whenever it was requested. Sam Lee (Special Collections, University Libraries) responded to many requests for photocopies of selected pages from the university's more recently completed dissertations as well as to hundreds of reference queries between 1998 and 2000. His assistance in particular can only be described as exceptional and truly invaluable. The Research Services Section of the University Registry not only supplied copies of its annual publication Abstracts of Theses but also responded to several inquiries about specific dissertations. The staff of Hong Kong University Press accepted the initial proposal for the publication of this reference work and remained supportive of this project despite interminable delays in its completion. We are especially appreciative of their patience and hope that the published bibliography will meet their high expectations. The current and two of the former Vice-Chancellors of the University of Hong Kong—Professor W.I.R. Davies, Professor Y. C. Cheng and Professor Wang Gungwu—kindly contributed the preface and two of the three forewords to the volume. Finally, Dr. Kan Lai-bing, the Librarian of the University of Hong Kong between 1983 and 1999, strongly encouraged the completion of this undertaking, arranged to have it published as volume number 12 in the "University of Hong Kong Libraries Publications" series, and wrote the third foreword. We are most grateful to each and everyone one of them.

IN CONCLUSION

Doctoral Dissertations on China and on Hong Kong, 1900-1997 should serve as a definitive reference work for scholars, students and librarians alike. It will supplement traditional library catalogues, most specialized bibliographies, and existing electronic databases by providing detailed information about a type of grey literature that all too often receives inadequate bibliographical coverage. It will not only assist doctoral candidates in the selection of their own thesis topics but will also help researchers in carrying out advanced research on many subjects for which few if any Western-language journal articles or monographs are currently available. Organizations interested in the development of Hong Kong-related studies will be able to use this volume easily for identifying the dissertation topics of specific individuals as well as for surveying trends and noteworthy developments in the growth of the field. The bibliography may also facilitate efforts by one or more individuals or institutions to synthesize some of the scholarship on Hong Kong that has been completed in a wide range of subject areas. The present volume, moreover, is complemented by several other retrospective guides to doctoral research about Asia which we have produced since 1970. Among these are Japan and Korea: An Annotated Bibliography of Doctoral Dissertations in Western Languages, 1877-1969 (Chicago: American Library Association: London: Frank Cass, 1970), Burma: An Annotated Bibliographical Guide to International Doctoral Dissertation Research, 1898-1985 (Lanham, Md.: University Press of America, 1986), and Doctoral Dissertations on China and on Inner Asia, 1976-1990: An Annotated Bibliography of Studies in Western Languages (Westport, Conn.: Greenwood Press, 1998). Altogether they constitute a major component within a much larger system of bibliographical control for Asian Studies. Finally, as a convenient, all-inclusive, single source of information containing (through its indexes and the detailed classification of its entries) multiple approaches for finding the dissertations listed within it, the bibliography should significantly expedite as well as facilitate the efforts of its readers in tracking down a variety of other types of information.

We eventually expect to publish one or more bibliographies that will supplement *Doctoral Dissertations on Hong Kong*, 1900-1997 and thereby keep the overall coverage of this volume up-to-date. We will always be grateful to receive pertinent bibliographical information from new doctoral degree recipients as well as from their advisers, colleagues and others knowledgeable about Hong Kong-related research.

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簡介

自一九六〇年以來,隨著香港高等教育之發展和全球對東亞研究的興趣,香港研究之博士論文也隨而增加,共有二十九國之大學(包括澳洲、奧地利、加拿大、中國、法國、德國、愛爾蘭、日本、紐西蘭、瑞士、英國、美國及前蘇聯等國家)皆分別接受香港研究之博士論文,其所頒授之博士學位計有 Ph.D., D.Phil., Ed.D., M.D., D.B.A., D.S.W., Th.D., D.Min. 及 D.Miss. 等等。無可否認,有些刊行之目錄及資料庫均各自載有數以百計的論文,但至今尚無一本參考書目把與香港有關之重要學術博士論文作有體系的搜集與編纂。編者特把這些與香港有關之個別博士論文資料收集一起,編成書目,以增進學者對香港研究有更深入的發展及作長遠參考。

『香港研究博士論文註釋書目:一九〇〇至一九九七』是一部範圍廣泛,含有多項分類及前後參照,並附有深入索引指南之註釋書目。所收入之博士論文共計有二千三百九十五條,皆取自不同之學系,其中有人文科學、社會科學、教育學、神學、建築學、醫學及自然科學等學系。博士論文之學科有人類學、經濟、工程、地理、地質學、文學、宗教、生物、歷史、語言學、政治、社會學及動物學等。將近有百分之六十的博士論文題材是以香港為焦點,或與海外香港華人有關之研究(主要為居於北美洲、澳洲、英國之僑民及在西方留學之香港學生),其餘一千條論文皆為部份論述與香港有關之博士論文。此目錄所收入之論文是以廣泛為旨,編者對論文之內容及其質量並沒作任何評價。

本目錄之論文搜集過程繁複,除謹謓審察各地已刊之期刊、年刊、時事通訊及許多國家出版之博士論文目錄外,編者也從特選大學肆業典禮之手冊中錄取一些有關資料作參考。此外,編者更廣泛與個人或個別基構通信搜集與校訂一些尚沒有刊行之資料。編者並長期在美國國會圖書館之微縮膠捲閱讀室內借閱無數館藏之論文作研究。編者並實地前往香港、日本、加拿大及美國各地圖書館索閱論文原稿,擴充目錄材料並確定論文有關於香港之資料。編者並利用網上資料(OCLC、RLIN及香港大學圖書館之Dragon)作透徹搜查,把已修改之論文及其出版為書本之書題一併列入於論文作者名下。

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- 每論文皆按分類排行,編以書目號碼,並按作者之姓氏分先後次序列出作者全名,如有出生年份則列於作者 名後。
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所有記入之書目均按其主題而分類,非按其學科。雖然有些論文所屬學科為人類學,教育學,醫學及神學,但 大部份與香港在一八〇〇年與一九四五年期間有關之論文皆分別編入歷史部門而非在其學科。此外,本目錄更 附有數百條前後參照及最新論文提供詳盡資訊指南。為了盡力而為,編者把已收集之一九九八年、一九九九年 及二〇〇〇年早期之四百零四條博士論文收入附錄,此附錄範圍並非廣泛。本目錄附有數統計表、論文作者索 引、頒授學位學府索引及深入科目索引。

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